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## **International and National Quality and Safety Indicators for Aged Care**

**Report for the Royal Commission into Aged Care Quality and Safety**

### **APPENDICES**

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Report prepared by The Registry of Senior Australians (ROSA) Research Team  
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## Appendix 1

### Summary of ROSA Outcome Monitoring System (OMS) Quality and Safety Indicators

**Table 1.1: Summary of ROSA OMS Quality and Safety Indicators<sup>1</sup>**

| Indicator          | Main Data source(s) | Coding  | Numerator   | Denominator                   | Exclusions/Stratification  | Covariates   |
|--------------------|---------------------|---------|---|-------------------------------|--|--|
| High Sedative Load | Medications (PBS)   | PBS/ATC | Number of long-term residents who experienced high sedative load  | Number of long-term residents | Stratified by dementia status  | Age, sex, comorbidities  |
| Antipsychotic Use  | Medications (PBS)   | PBS/ATC | Number of long-term residents who have been prescribed an antipsychotic   | Number of long-term residents | Stratified by dementia status.<br><br>Excluded residents with history of schizophrenia or Huntington's disease | Age, sex, comorbidities, dementia, prior use of antipsychotics |
| Chronic Opioid Use | Medications (PBS)   | PBS/ATC | Number of long-term residents that are chronic opioid users. Chronic opioid use is defined as continuous opioid use for at least 90 days, or for 120 non-consecutive days | Number of long-term residents | Excluded residents with a history of cancer or in palliative care  | Age, sex, comorbidities  |

| Indicator           | Main Data source(s)   | Coding    | Numerator   | Denominator                   | Exclusions/Stratification | Covariates                                  |
|---------------------|---|-----------|---|-------------------------------|---------------------------|---|
| Antibiotic Use      | Medications (PBS)   | PBS/ATC   | Proportion of long-term residents dispensed an antibiotic   | Number of long-term residents |                           | Age, sex, comorbidities                     |
| Premature Mortality | Mortality records (NDI)                                     | ICD-10-AM | Number of residents who died from premature causes, i.e. their main cause of death is 'external' and considered potentially avoidable | Number of residents           |                           | Age, sex, comorbidities                     |
| Falls               | Hospital and mortality records (ISAAC, NSW APDC, VAED, NDI) | ICD-10-AM | Number of long-term residents who have experienced one or more falls resulting in requiring medical attention                         | Number of long-term residents |                           | Age, sex, comorbidities, dementia, mobility |

| Indicator                         | Main Data source(s)   | Coding        | Numerator   | Denominator                   | Exclusions/Stratification | Covariates  |
|-----------------------------------|---|---------------|---|-------------------------------|---------------------------|---|
| Fractures                         | Hospital, subsidised health encounters, mortality records (ISAAC, NSW APDC, VAED, MBS, NDI) | ICD-10-AM/MBS | Number of long-term residents with fractures  | Number of long-term residents |                           | Age, sex, comorbidities, dementia, mobility, osteoporosis |
| Medication-related Adverse Events | Hospital records (ISAAC, NSW APDC, VAED)  | ICD-10-AM     | Number of long-term residents with a medication-related hospitalisation/emergency department visit                          | Number of long-term residents |                           | Age, sex, comorbidities                                   |
| Weight Loss and Malnutrition      | Hospital records (ISAAC, NSW APDC, VAED)  | ICD-10-AM     | Number of long-term residents with a hospitalisation/emergency department visit for/with malnutrition/weight loss diagnoses | Number of long-term residents |                           | Age, sex, comorbidities                                   |

| Indicator                                 | Main Data source(s)                      | Coding    | Numerator  | Denominator   | Exclusions/Stratification                                   | Covariates   |
|---|--|-----------|--|---|---|--|
| Delirium and/or Dementia Hospitalisations | Hospital records (ISAAC, NSW APDC, VAED) | ICD-10-AM | Number of long-term residents with dementia having a hospitalisation/emergency department visit for dementia or delirium | Number of long-term residents with dementia                 |   | Age, sex, comorbidities  |
| Emergency Department Presentation         | Hospital records (ISAAC, NSW APDC, VAED) | ICD-10-AM | Number of residents admitted to an emergency department within 30 days of entry/re-entry to care                         | Number of residents who re-entered after hospital discharge | Stratified by short vs long-term residents                  | Age, sex, history of hospitalisations, comorbidities, length of stay |
| Pressure Injury                           | Hospital records (ISAAC, NSW APDC, VAED) | ICD-10-AM | Number of long-term residents with a hospitalisation/emergency department visit for or with pressure injury diagnoses    | Number of long-term residents                               | Stratified by high vs low risk of pressure injury residents | Age, sex, comorbidities  |

MBS, Medicare Benefits Schedule; PBS, Pharmaceutical Benefits Scheme; NDI, National Death Index; ISAAC, Integrated South Australian Activity Collection; EDDC, South Australian Emergency Department Data Collection; VAED, Victorian Admitted Episodes Dataset; NSW APDC, NSW Admitted Patient Data Collection. ATC= Anatomical Therapeutic Chemical Classification System. ICD-10-AM= International Statistical Classification of Diseases and Related Health Problems, Tenth Revision, Australian Modification.



## Appendix 2

### ROSA Outcome Monitoring System Quality and Safety Indicators Technical Specifications

The Registry of Senior Australians (ROSA) has designed an outcome monitoring and benchmarking system to measure the safety and quality of services received by individuals obtaining aged care services in Australia.<sup>1</sup> This tool is required to efficiently evaluate service systems, examine unwarranted variation in care, and support the development of evidence-based quality improvement initiatives.

The ROSA Outcome Monitoring System (OMS) was developed from the synthesis of high-level evidence and recommendations by established Australian or international reporting programs and initiatives.<sup>1-12</sup> The specific indicators that are included in the current system leverage the linkage between the aged care and health care datasets that exist in ROSA.

An initial list of 23 potential quality and safety indicators were identified, which were reviewed by an Advisory Committee in April 2019 and a list of 12 indicators were prioritised for further development.

This document provides the detailed proposed technical specifications for the following 12 indicators that were identified as priorities for the ROSA OMS. These indicators are:

1. [Sedative Load](#)
2. [Antipsychotic Use](#)
3. [Chronic Opioid Use](#)
4. [Antibiotic Use](#)
5. [Premature Mortality](#)
6. [Falls](#)
7. [Fractures](#)
8. [Medication-related Adverse Events](#)
9. [Weight Loss or Malnutrition](#)
10. [Delirium and/or Dementia](#)
11. [Emergency Department Presentation](#)
12. [Pressure Injury](#)

## Definitions

### **Aged Care Eligibility Assessment Program (ACAP) Minimum Dataset (MDS) V2.0:**<sup>13</sup>

All individuals seeking residential, home care package, and transition care services in Australia undergo an assessment by trained and certified assessors to determine service eligibility and recommendations. The ACAP MDS v2 was created in 2013 by the Australian Institute of Health and Welfare from the data collected during aged care eligibility assessments nationally. The data dictionary created by the Australian Institute of Health and Welfare (AIHW) released in 2013 maps all coding used for the assessments.

**Aged Care Assessment Team (ACAT):**<sup>13</sup> Team responsible for conducting aged care eligibility assessments under the Aged Care Assessment Program (ACAP). The assessment itself is also frequently referred to as an ACAT.

**Aged Care Funding Instrument (ACFI):**<sup>14</sup> At entry into permanent residential aged care clients undergo an assessment using the ACFI, which is used to determine the level of care each resident needs, based on current challenges with activities of daily living, behaviour and complex health care. Outcomes of this assessment are used to allocate Australian Government subsidies to residential aged care providers to provide care for residents.

**Anatomical Therapeutic Chemical (ATC) Classification System:**<sup>15</sup> This was used to identify medication related indicators using the Pharmaceutical Benefits Scheme (PBS) datasets. This is the World Health Organisation classification system for medications. This system groups substances according to organ or system they act on and their therapeutic, pharmacological, and chemical properties. Medications in the PBS datasets are coded using PBS codes that have been mapped to ATC codes.

**Case mix adjustment (or risk adjustment):**<sup>16-18</sup> *Observed proportion* is the crude ratio of numerator and the denominator and *observed rates* is the ratio of the numerator/1000 resident days in our report. Adjusted proportions and rates of the indicators are also presented, and this means that indicators have accounted for the different profile of the individuals living in each facility. All indicators are at the minimum adjusted for age, sex, and number of comorbidities of the cohorts (termed covariates in our specifications). Additional covariates, including dementia or osteoporosis, are included in the specifications of some indicators. The probability of specific events (i.e. *expected proportion*) was estimated using a logistic regression model, which includes the specified covariates for that model. The expected rate for antibiotic days and antibiotic Defined Daily Doses (DDDs)/1000 resident days was estimated using a Poisson regression model. For each measure and model, variable form specifications are examined, and model fit is assessed. The *ratio of the observed/expected* multiplied by the overall national proportion or rate is the adjusted estimate, which is presented in the report.

**Comorbidities:** In this report all comorbidities were ascertained using the RxRisk-V<sup>19, 20</sup> pharmaceutical-based comorbidity index. A six-month look-back period from the year of the report was used to ensure a recent comorbidity profile. Unless otherwise specified, the count of comorbidities was included as a covariate. If certain comorbidities (e.g. dementia) were included as a covariate in a statistical calculation, it would be removed from the corresponding count of comorbidities for that calculation.

**Confidence intervals:** A confidence interval is the range of values in which the true estimate of an indicator may lie. In our plots we show the 95% and 98.5% confidence intervals. Generally, sample size and variability may affect the confidence intervals. In all funnel plots in this report, confidence intervals were calculated using the Wilson method for binomially distributed estimates.<sup>16, 18</sup> This method was chosen as this is a conservative approach (i.e. wide estimates) to estimate the confidence intervals presented in the funnel plot.

**Cumulative days in care:** The total number of days that a resident receives care from a specific aged care facility or provider. If a resident has periods of 'leave' from the aged care facility (e.g. hospitalisations) these days are not included in the total number of days in care. If an individual enters permanent residential care directly from an episode of respite care, these days have not been counted towards the total.

**Dementia diagnoses (Table 2.1):** For individuals in permanent residential aged care, dementia is ascertained using at least one of the following indications: (1) health conditions reported in the aged care eligibility assessment; or (2) health conditions reported at the time of an aged care funding instrument assessment at entry into residential aged care; or (3) a history of dispensing of medications specific for dementia, including acetylcholinesterase inhibitors or memantine within the six months prior to entry into aged care. For individuals receiving home care services, dementia is ascertained from (1) health conditions reported in the aged care eligibility assessment; or (2) a history of dispensing of an acetylcholinesterase inhibitor or memantine within the six months prior to entry into aged care.

**Table 2.1 Dementia diagnoses, including ACAP MDSV2.0 codes<sup>13</sup> and ATC codes used for ascertainment**

| Description  | ACAP MDSV2.0 code |
|--|-------------------|
| <b>Dementia in Alzheimer's disease</b>                     | <b>0500</b>       |
| Dementia in Alzheimer's disease with early onset (<65 yrs) | 0501              |
| Dementia in Alzheimer's disease with late onset (>65 yrs)  | 0502              |
| Dementia in Alzheimer's disease, atypical or mixed type    | 0503              |

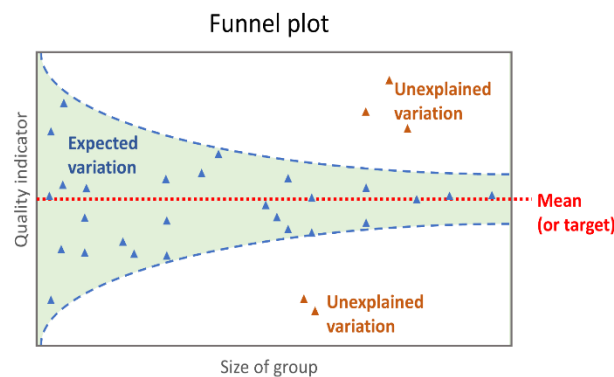
| Description   | ACAP MDSV2.0 code |
|---|-------------------|
| Dementia in Alzheimer's disease, unspecified                | 0504              |
| <b>Vascular dementia</b>                                    | <b>0510</b>       |
| Vascular dementia of acute onset                            | 0511              |
| Multi-infarct dementia                                      | 0512              |
| Subcortical vascular dementia                               | 0513              |
| Mixed cortical & subcortical vascular dementia              | 0514              |
| Other vascular dementia                                     | 0515              |
| Vascular dementia – unspecified                             | 0516              |
| <b>Dementia in other diseases classified elsewhere</b>      | <b>0520</b>       |
| Dementia in Pick's disease                                  | 0521              |
| Dementia in Creutzfeldt-Jakob disease                       | 0522              |
| Dementia in Huntington's disease                            | 0523              |
| Dementia in Parkinson's disease                             | 0524              |
| Dementia in human immunodeficiency virus (HIV) disease      | 0525              |
| Dementia in other specified diseases classified elsewhere   | 0526              |
| <b>Other dementia</b>                                       | <b>0530</b>       |
| Alcoholic dementia  | 0531              |
| Unspecified dementia (includes presenile & senile dementia) | 0532              |
| <b>Drug name</b>  | <b>ATC code</b>   |
| Donepezil   | N06DA02           |
| Rivastigmine  | N06DA03           |
| Galantamine   | N06DA04           |
| Memantine   | N06DX01           |

Note. In the assessment at entry into residential aged care (or ACFI) dementia is only identified using the codes in bold. All codes are used in the assessment conducted prior to entering residential aged care.

**Denominator:** Total number of eligible residents during the reporting period.

**Funnel plots (Figure 1)**<sup>16-18</sup>: These visualisation tools depict the variation in the indicators included in the report as a scatter plot, where each dot is a unit of measure (e.g. an aged care facility or geographical area). The X-axis (horizontal axis) shows the number of individuals in a facility ('size of group') and the Y-axis (vertical axis) shows the adjusted proportion or rate of each quality indicator. These plots display the provider and facility-level variation for each indicator. The variation is shown by upper and lower confidence limits (95% or 98.5%) around the mean of that measure for all facilities.

To ensure appropriate development of funnel plots, we developed models that appropriately account for case-mix differences; ensuring that the number of observations per facility or provider is sufficient to undertake the analysis proposed, but does not identify them publicly (only facilities with more than 20 cases are displayed); and overdispersion (i.e. greater variation than expected) is tested. We will continue to work to ensure that funnel plot specifications are clear and satisfactory to aged care providers.



**Figure 1. Example of a Funnel Plot**

**Indicators:** The proposed measures of whether services are evidence based (i.e. quality) and free from unintended injury or health consequences (i.e. safety).

**International Statistical Classification of Disease and Related Health Problems-Tenth Revision-Australian Modification (ICD-10-AM):**<sup>21</sup> This was used to identify indicators using hospitalisations records, emergency department presentations, and cause of death data. This is the classification system for diseases and external causes of injury. The Australian Modification was developed by the National Centre for Classification in Health and is derived from the World Health Organisation ICD-10 classification.

**Long-term residents:** Individuals who have lived in a specific residential aged care facility for permanent residential care for a cumulative period of 100 or more days.

**Numerator:** Number of individuals that have experienced the quality/safety indicator of interest.

**Reporting periods:** Most indicators are reported for long-term care for a specific calendar year (e.g. 01/01/2016-31/12/2016 at this time). There are residents who become long-term care residents during the reporting period (in 2016 this was 27% of the total) and these residents are included in the denominator of the indicators. Indicators including short-term residents are also for a specific calendar year.

**RxRisk-V comorbidity index:**<sup>19, 20</sup> The RxRisk-V comorbidity index determines an individual's comorbidities based on medicines supplied via the Pharmaceutical Benefits Scheme (PBS) over a six month period. The RxRisk-V comorbidity index includes 46 conditions.

**Sedative load:** Estimate of the cumulative effect of taking multiple medications with sedative properties. Sedative load is calculated by summing the sedative rating of all medications a person receives. Many medications have sedative properties and therefore are included in this estimate. Specifically, the medications included in our calculation included: primary sedatives (e.g. conventional antipsychotics, antidepressants, anxiolytic, hypnotics and sedatives) which derive a score of 2 and medications with sedation as a prominent side-effect (e.g. atypical antipsychotics, antiemetics, opioids) which derive a score of 1. In our report we calculate the sedative load of medications dispensed within a period of 91 days. Our definition of a high sedative load is a score of 3 or higher, which for example could indicate the use of at least 1 primary sedative (score =2) and at least 1 additional medication with sedative properties (score =1).<sup>22</sup>

**Short-term residents:** Individuals who have lived in a specific residential aged care facility for a cumulative period less than 100 days.

## Data Sources

The Historical and Prospective datasets of the Registry of Senior Australians (ROSA) are comprised of data from the National Aged Care Data Clearinghouse (NACDC), Medicare Benefits Schedule (MBS), Pharmaceutical Benefits Scheme (PBS), National Death Index (NDI), Integrated South Australian Activity Collection (ISAAC), and state health authorities admitted hospitalisation (for the states of South Australia (SA), New South Wales (NSW) and Victoria (VIC)), emergency department hospitalisations (for the states of SA, NSW, VIC), and ambulance data collections (for the states of SA, NSW). See data sources described in Table 2.<sup>23</sup>

**Table 2.2 ROSA Data Sources, Data Custodians, Data Integrating Authority, Description of Data Source**

| <i>Data Source</i>   | <i>Custodian</i>   | <i>Data Integrating Authority</i> | <i>Description of Data Source</i>   |
|--|--|-----------------------------------|---|
| <b>National Aged Care, Medicare, and Mortality Records</b>   |  |                                   |   |
| National Aged Care Data Clearing House (NACDC) <sup>24</sup> | Various  | AIHW                              | This is a central, independent repository of national aged care data with data captured since 1997. It coordinates data collection from various agencies and departments and creates data sets from the information that is collected. It brings together data from the Department of Social Services, Aged Care Assessment Program, Department of Human Services, Commonwealth Home and Community Care Program, and the Australian Bureau of Statistics. Datasets with residential assessment (i.e. Aged Care Funding Instrument) are also included. |
| Medicare Benefits Schedule (MBS) <sup>25</sup>               | Department of Health, Australian Commonwealth Government | AIHW                              | This database contains details of all Medicare subsidised attendances and procedures listed in the MBS and undertaken by medical practitioners, as well as diagnostics and pathology procedures, excluding treatments for inpatients in public hospitals. The MBS lists services that are subsidised by Medicare.   |
| Pharmaceutical Benefits Scheme (PBS) <sup>26</sup>           | Department of Health, Australian Commonwealth Government | AIHW                              | This database contains claims for all PBS listed medicines dispensed to Medicare card holders. The Schedule of Pharmaceutical Benefits lists all medicines available through the PBS (including Repatriation Pharmaceutical Benefits Scheme (RPBS)).  |
| National Death Index (NDI) <sup>27</sup>                     | Registrars of Births, Deaths and Marriages,              | AIHW                              | This database that contains records of deaths registered in Australia since 1980. Data comes from Registrars of Births, Deaths and Marriages in each jurisdiction, the National   |

| <b>Data Source</b>  | <b>Custodian</b>                   | <b>Data Integrating Authority</b> | <b>Description of Data Source</b>   |
|---|------------------------------------|-----------------------------------|---|
|   | Australian Commonwealth Government |                                   | Coronial Information System and the Australian Bureau of Statistics.  |
| <b>State Admitted Hospitalisation Records</b>                         |                                    |                                   |   |
| Integrated South Australian Activity Collection (ISAAC) <sup>28</sup> | SA Health                          | SA NT DataLink                    | This SA Health database includes details of all public hospital inpatient hospitalisations in SA.   |
| NSW Admitted Patient Data Collection (NSW APDC)                       | NSW Ministry of Health             | CHeReL                            | This collection records all admitted patient services provided by NSW Public Hospitals, Public Psychiatric Hospitals, Public Multi-Purpose Services, Private Hospitals, and Private Day Procedures Centres.   |
| Victorian Admitted Episodes Dataset (VAED)                            | Victorian Health                   | CVL                               | The VAED provides a comprehensive dataset of the causes, effects and nature of illness, and the use of health services in Victoria. The VAED supports health service planning, policy formulation, epidemiological research and public hospital funding under the casemix system. All Victorian public and private hospitals, including rehabilitation centres, extended care facilities and day procedure centres, report a minimum set of data for each admitted patient episode. |
| <b>State Emergency Department Records</b>                             |                                    |                                   |   |
| Emergency Department Data Collection (EDDC) <sup>29</sup>             | SA Health                          | SA NT DataLink                    | This SA Health database includes details of all public hospital emergency department presentations in SA.   |
| NSW Emergency Department Data Collection (NSW EDDC)                   | NSW Ministry of Health             | CHeReL                            | The Emergency Department collection provides information about patient presentations to the emergency departments of public hospitals in NSW.   |
| Victorian Emergency Minimum Dataset (VEMD)                            | Victorian Health                   | CVL                               | The VEMD comprises de-identified demographic, administrative and clinical data detailing presentations at Victorian public hospitals with designated emergency departments. The VEMD provides information for: epidemiological purposes, health service planning and coordination, policy assessment and formulation, clinical research, quality improvement and patient management.  |



| <b>Data Source</b>  | <b>Custodian</b>       | <b>Data Integrating Authority</b> | <b>Description of Data Source</b>   |
|---|------------------------|-----------------------------------|---|
| <b>State Ambulance Records</b>  |                        |                                   |   |
| SA Ambulance Services (SAAS)  | SA Health              | SA NT DataLink                    | This SA database collects information on ambulance services provided during emergency medical assistance, treatment and transport, and non-urgent patient transport.  |
| NSW Ambulance-Patient Health Care Record (PHCR) and NSW electronic Medical Record (eMR) | NSW Ministry of Health | CHeReL                            | This NSW Ambulance data collections data documented by clinicians in the paper-based Patient Health Care Record (PHCR) and electronic medical record (eMR). Clinical information includes patient vital signs. NSW Ambulance datasets capture information for emergency and urgent episodes of care for NSW Ambulance patients who: were transported to a hospital; were left at a scene following clinician assessment; or, who died at the scene. |

AIHW=Australian Institute of Health and Welfare. CHeReL= Centre for Health Record Linkage.  
 CVL= Centre for Victorian Data Linkage.

### **SA, NSW, and VIC Admitted and Emergency Hospital Data Collections**

While the states' hospitalisation and emergency department data collections have been brought together to develop national estimates of the ROSA OMS indicators through the development of a minimum dataset common to all states, there are several differences between the data collections that need to be considered. These differences, which range from availability of specific data elements to coding practices, are limitations in the ascertainment of ROSA OMS indicators from hospital-based data and potentially can affect the inter-state comparability of some of the OMS indicators.

Seven ROSA OMS indicators use hospital-based data, these include: falls, fractures, medication-related adverse events, weight loss or malnutrition, delirium and/or dementia, emergency department presentation, pressure injury. We have attempted to address the differences between the states' data sources by creating common national definitions (i.e. a minimum common dataset) using the available data when possible and when not possible, create state-specific definitions. Other national hospitalisation datasets (e.g. the Australian Institute of Health and Welfare National Hospital Morbidity Dataset) have been created in the past and have highlighted the main differences between states and territory health authorities' data collection, changes over time in data availability and other important aspects of using these data as a single dataset nationally.<sup>30</sup> In this report we outline the major differences between the states we have used in the development of the ROSA OMS

(specifically SA, VIC and NSW) and how we believe their differences influence national comparisons. In the specifications of each indicator in this report we have also outlined whether there are state-specific definitions that need to be incorporated in the development of an indicator.

### Major differences:

#### **I. Data availability.**

The main limitation of the SA inpatient admitted hospital data is the unavailability of private hospitalisations, which are available for the states of VIC and NSW. The Integrated South Australian Activity Collection (ISAAC) captures only public hospitalisations, therefore hospitalisations in private hospitals are not included.

**How this affects the ROSA OMS:** As most emergency hospitalisations (92%) are normally captured in public hospitals,<sup>31</sup> we expect that the indicators that rely on principal discharge diagnosis for hospitalisations that are typically emergency or unplanned (i.e. falls, medication related adverse events, fractures, and delirium and/or dementia) to be well captured within the ROSA OMS. Based on comparisons with other states (VIC, NSW) for these indicators, it is likely we are underestimating these events by between 0-12% (depending on the indicator and year) in SA by using only the public hospitals for these measures. For the indicators that rely on any diagnosis during the inpatient encounters (i.e. pressure injuries and weight loss or malnutrition) it is likely that SA estimates are underestimated by 3-26% (depending on the indicator and year), based on comparisons to other states. For these 2 indicators we recommend focusing on state-wise comparisons.

#### **II. Unique data elements.**

In admitted hospitalisations records in SA and VIC a variable that specifies 'injury event-external cause code' is available, which is not available for NSW records.

**How this affects the ROSA OMS:** Only the fall indicator is affected by this limitation. The falls captured by the ROSA OMS should have occurred prior to the hospitalisation episode, therefore the 'injury event-external cause code' that indicates a fall is useful in determining that the reason for the admission was related to a fall. In NSW the 'external cause' diagnosis set of variables was used to determine whether the hospitalisation was related to a fall.

In emergency department records in SA and NSW, a diagnosis (only 1 is available) is available to characterise the encounter, while in VIC a 'injury cause' variable is available.

**How this affects the ROSA OMS:** Only the fall indicator is affected by this limitation and only if the fall did not require a hospital admission, in which case it would be

captured in that encounter. In SA and NSW the discharge diagnosis was used to identify falls using the ICD-10-AM outlined under the falls indicator specifications. In the VIC dataset the 'injury cause' was used to identify emergency department presentations due to falls. It is possible that when the primary reason for an emergency department presentation are sequelae related to falls (i.e. other injuries) in the NSW and SA datasets this is underestimated.

### III. Coding practices.

State admitted hospitalisations are coded in accordance with national coding standards. However, we found the emergency department data collections to be particularly different between states. NSW for example employed ICD-9-AM, ICD-10-AM, and SNOMED coding at different time periods and while SA shared ICD-10-AM mapped encounters, upon further investigation it was found that different coding practices were used in different emergency departments (for example ICD-9-AM that was later mapped to ICD-10-AM). We have attempted to map all encounter coding to ICD-10-AM but this may also introduce challenges, as mapping is not always accurate and codes may be in formats that are not acceptable for mapping (e.g. less digits that required).<sup>32, 33</sup>

**How this affects the ROSA OMS:** Only the fall indicator is affected by this limitation and only if the fall did not require a hospital admission, in which case it would be captured in that encounter. When other coding was used, in particularly SNOMED, the mapped codes descriptions were reviewed to ensure that they also captured events of specific importance for the ROSA OMS indicators. For example, the ICD-10-AM coding for 'tendency to fall' (R29.6) mapped to 29839007 ('at risk of falls') or 430576002 ('at risk of injury due to falls') which were excluded and therefore not considered a fall. We also checked that SNOMED coding was consistent with the ICD-10-AM specifications we created for the other ROSA OMS indicators and determined them to be appropriate.

Certain conditions may have significant limitations and variations in coding. Weight loss and malnutrition are often underreported. This is partially because while coding this condition in hospitalisation records may at times change the reimbursement of the hospitalisations, when other more serious co-morbid conditions are reported, and the reimbursement is maximised, there is no incentive to code these.<sup>34</sup>

**How this affects the ROSA OMS:** The indicator most affected by underreporting and the most variable between states (despite very low prevalence) was weight loss and malnutrition, even after acknowledging different data sources between states. These state differences likely highlight varying coding practices of these specific conditions between states and therefore we recommend only conduction of state-wise comparisons for this indicator.

## Indicator 1. Sedative Load

| Data Source | Definition   | Numerator   | Denominator  | Comments  | Covariates   |
|-------------|--|---|--|---|--|
| PBS         | Proportion of long-term residents potentially experiencing a high sedative load (SL $\geq$ 3).               | Number of long-term residents who had at least one potential period of high sedative load (SL $\geq$ 3) medication use within a 91-day period in the reporting period of 1 year. Sedative load is calculated by summing the sedative rating of each medication dispensed during the same period (Table I1.1).               | Number of long-term residents of aged care. Exclude any that have the reported health conditions of schizophrenia or Huntington's disease (Table I1.2), receiving cancer treatment or are in palliative care (Table I1.3).               | The published literature was searched to identify medications that contribute to high sedative load. <sup>22, 35-37</sup> | Age, sex, number of comorbidities.                     |
| PBS         | Proportion of long-term residents with dementia potentially experiencing a high sedative load (SL $\geq$ 3). | Number of long-term residents with dementia who had at least one potential period of high sedative load (SL $\geq$ 3) medication use within a 91-day period in the reporting period of 1 year. Sedative load is calculated by summing the sedative rating of each medication dispensed during the same period (Table I1.1). | Number of long-term residents of aged care with dementia. Exclude any that have the reported health conditions of schizophrenia or Huntington's disease (Table I1.2), receiving cancer treatment or are in palliative care (Table I1.3). | The published literature was searched to identify medications that contribute to high sedative load. <sup>22, 35-37</sup> | Age, sex, number of comorbidities other than dementia. |

### Calculation of sedative load

Sedative load is calculated by summing the sedative rating of each different medication dispensed within the 91-day period (Table I1.1). Each drug is only counted once towards

sedative load within the 91-day period regardless of the number of scripts or quantity dispensed.

**Table I1.1. Medications with Sedative Properties, Description, ATC Codes, and Sedative Rating.**

| Description   | Code                                   | Sedative Rating <sup>1</sup> |
|---|--|------------------------------|
| Conventional antipsychotics including butrophenones and prochlorperazine  | N05AA*, N05AB*, N05AC*, N05AD*, N05AF* | 2                            |
| Antidepressants; tricyclic agents, non-selective monoamine reuptake inhibitors, antidepressant of second generation, combinations | N06AA*, N06CA01, N06AF*                | 2                            |
| Second-generation antidepressants (mianserin)   | N06AX03                                | 2                            |
| Anxiolytics   | N05B*                                  | 2                            |
| Hypnotics and sedatives   | N05C* (excluding N05CM*)               | 2                            |
| Other (lithium)   | N05AN*                                 | 2                            |
| Antispasmodics with psychoepileptics  | A03C*                                  | 1                            |
| Other alimentary (metoclopramide, scopolamine and in combinations)  | A03FA01, A04AD01, A04AD51, N05CM05     | 1                            |
| Indomethacin  | M01AB51, M01AB01                       | 1                            |
| Centrally acting muscle relaxants including psychotropics (baclofen, tizanidine, orphenadrine and orphenadrine combinations)      | M03BX01, M03BX02, M03BC01, M03BC51     | 1                            |
| Opioids   | N02A*                                  | 1                            |
| Antiepileptics  | N03*                                   | 1                            |
| Antiparkinsonian drugs anticholinergic agents   | N04A*                                  | 1                            |
| Atypical antipsychotics   | N05AE*, N05AH*, N05AL*, N05AX*         | 1                            |
| Selective serotonin reuptake inhibitors   | N06AB*, N06CA03                        | 1                            |
| Other antidepressants of second generation  | N06AX* (excluding N06AX03), N06AG02    | 1                            |
| Dopamine agonists   | N04BC* (excluding N04BC01)             | 1                            |

| Description  | Code                                   | Sedative Rating <sup>1</sup> |
|--|--|------------------------------|
| Migraine preparations  | N02C*                                  | 1                            |
| Old antihistamines and antiemetics or drugs for dizziness, including psychotropics   | R06AA*, R06AB*, R06AD*, R06AE*         | 1                            |
| Xanthines  | R03DA*, R03DB*                         | 1                            |
| Antitussives with sedating components (cough suppressants excluding combinations with expectorants, cough suppressants and expectorants, combinations, bromhexine) | R05DA*, R05F*, R05CB02                 | 1                            |
| Anticholinergic drops for eyes   | S01FA* (excluding S01FA06 and S01FA56) | 1                            |

Note: for drugs that appear on PBS as a 5-digit ATC indication, the WHO ATC code has been used to classify the sedative load.

1. Sedative rating 2= primary sedatives. Sedative rating 1= medications with sedation as prominent side-effect or preparations with a sedating component.

**Table I1.2. Health Conditions, ACAP MDS V2.0 Descriptions and Codes.**

| Description                      | Code <sup>1</sup> |
|----------------------------------|-------------------|
| Dementia in Huntington's disease | 0523              |
| Huntington's disease             | 0602              |
| Schizophrenia                    | 0551              |
| Schizophrenia                    | 550B              |

1. Reported at any prior ACAT assessment or ACFI assessment.

**Table I1.3. Cancer Treatment and Palliative Care, Descriptions, ATC Codes, ACFI Codes.**

| Description  | Code |
|--|------|
| Antineoplastic and immunomodulating agents <sup>1</sup>  | L01* |
| Palliative care (ACFI question 12, R14): "The person needs a palliative care program involving end of life care where ongoing care will involve very intensive clinical nursing and/or complex pain management in the residential care setting." | "Y"  |

1. Determined using 6 months of PBS prescription data prior to the study period.

## Indicator 2. Antipsychotic Use

| Data Source | Definition  | Numerator   | Denominator  | Comments | Covariates   |
|-------------|---|---|--|----------|--|
| PBS         | Proportion of long-term residents dispensed an antipsychotic.               | Number of long-term residents who have been dispensed at least one antipsychotic medication during the reporting period (Table I2.1). | Number of long-term residents of aged care. Exclude from denominator and numerator any that have the reported health conditions of: schizophrenia, or Huntington's disease (Table I2.2).               |          | Age, sex, number of comorbidities, history of antipsychotic medication dispensing one year prior to entry into care. |
| PBS         | Proportion of long-term residents with dementia dispensed an antipsychotic. | Number of long-term residents who have been dispensed at least one antipsychotic medication during the reporting period (Table I2.1). | Number of long-term residents of aged care with dementia. Exclude from denominator and numerator any that have the reported health conditions of: schizophrenia, or Huntington's disease (Table I2.2). |          | Age, sex, number of comorbidities, history of antipsychotic medication dispensing one year prior to entry into care. |

**Table I2.1. Antipsychotic Medications, Descriptions and ATC Codes.**<sup>6, 38</sup>

| Description     | Code                 |
|-----------------|----------------------|
| Chlorpromazine  | N05AA01              |
| Fluphenazine    | N05AB02 <sup>1</sup> |
| Trifluoperazine | N05AB06 <sup>1</sup> |
| Periciazine     | N05AC01              |
| Haloperidol     | N05AD01              |
| Ziprasidone     | N05AE04              |
| Lurasidone      | N05AE05              |



| Description    | Code                 |
|----------------|----------------------|
| Flupentixol    | N05AF01              |
| Zuclopenthixol | N05AF05              |
| Clozapine      | N05AH02              |
| Olanzapine     | N05AH03              |
| Quetiapine     | N05AH04              |
| Asenapine      | N05AH05              |
| Amisulpride    | N05AL05              |
| Risperidone    | N05AX08              |
| Aripiprazole   | N05AX12              |
| Paliperidone   | N05AX13              |
| Brexpiprazole  | N05AX16 <sup>1</sup> |

1. Fluphenazine and trifluoperazine are no longer listed on the PBS, but were listed on the PBS in 2016; brexpiprazole is currently listed on the PBS but was not listed in 2016.

**Table 12.2. Health Conditions, ACAP MDS V2.0 Descriptions and Codes.**

| Description                      | Code <sup>1</sup> |
|----------------------------------|-------------------|
| Dementia in Huntington's disease | 0523              |
| Huntington's disease             | 0602              |
| Schizophrenia                    | 0551              |
| Schizophrenia                    | 550B              |

1. Reported at any prior ACAT assessment or ACFI assessment.

### Indicator 3. Chronic Opioid Use

| Data Source | Definition   | Numerator   | Denominator  | Comments  | Covariates   |
|-------------|--|---|--|---|--|
| PBS         | Proportion of long-term residents considered chronic opioid users. | <p>Number of long-term residents that are chronic opioid users (Table I3.1).</p> <p>Chronic opioid use is defined as receiving any number of opioid medications for at least 90 days continuously, or for 120 non-consecutive days.<sup>39</sup> The number of days of medication use is determined based on the number of units dispensed and estimated dose per day. No gap days between one opioid medication dispensing and another were allowed when determining consecutive use of opioids.</p> | <p>Long-term residents of aged care who do not have a history or current diagnosis of cancer, and who are not receiving palliative care.</p> | <p>Cancer exclusion: history of malignancy as reported at aged care eligibility assessment, entry into residential aged care assessment, and 6 months prior to reporting period history of antineoplastic agents (Table I3.2).</p> <p>Palliative care exclusion is from entry into residential aged care assessment (Table I3.2).</p> | <p>Age, sex, number of comorbidities (excluding pain).</p> |

**Table I3.1. Opioids Medications, Descriptions, ATC Codes and PBS Codes.**

| Description | ATC     | PBS items  |
|-------------|---------|--|
| Morphine    | N02AA01 | 08491X, 08492Y, 08493B   |
|             |         | 01653B, 01654C, 01655D, 01656E, 02839K, 02840L, 02841M, 05392T, 05393W, 05394X, 05395Y, 08035X, 08349K, 08453X, 08489T, 08494C, 08669G, 08670H |
|             |         | 08146R, 08305D, 08306E, 08490W   |
|             |         | 01646P   |

| Description                            | ATC     | PBS items  |
|--|---------|--|
|  |         | 02122Q, 02123R, 02124T,  |
| Hydromorphone                          | N02AA03 | 09299K, 09406C, 09407D, 09408E, 09409F   |
|  |         | 05132D <sup>2</sup> , 08424J   |
|  |         | 08541M, 08542N, 08543P   |
| Oxycodone                              | N02AA05 | 08385H, 08386J, 08387K, 08388L, 09399Q, 09400R   |
|  |         | 05191F, 05195K, 08464L, 08501K, 08502L, 02481N   |
|  |         | 05191F <sup>2</sup> , 08644Y   |
| Oxycodone + Naloxone                   | N02AA55 | 08000C, 08934F, 08935G, 08936H, 10757E, 10758F, 10776E   |
| Fentanyl <sup>3</sup>                  | N02AB03 | 05265D, 05277R, 05278T, 05279W, 05280X, 05437E, 05438F, 05439G, 05440H, 05441J, 08878G, 08891Y, 08892B, 08893C, 08894D |
| Methadone                              | N02AC52 | 01609Q   |
| Methadone liquid                       | N02AC52 | 05399E, 05400F   |
| Buprenorphine                          | N02AE01 | 08865N, 08866P, 08866P, 08867Q, 10746N, 10755C, 10756D, 10770W, 10948F, 10949G, 10953L, 10957Q, 10959T, 10964C, 10970J |
| Codeine, combinations with paracetamol | N02AJ06 | 01215Y, 03316M, 04170L, 04171M, 04275B, 04286N, 08785J   |
| Tramadol                               | N02AX02 | 02527B, 08523N, 08524P, 08525Q   |
|  |         | 05232J, 08455B, 08611F   |
|  |         | 08582Q   |
|  |         | 08843K   |
| Tapentadol                             | N02AX06 | 10091D, 10092E, 10094G, 10096J, 10100N   |

1. Quantity per day in tablets unless otherwise stated.
2. Removed from the PBS in 2016.
3. Fentanyl lozenges (PBS items 10684H, 10697B, 10698C, 10729Q, 10737D) were excluded as clinical indication on the PBS is for cancer treatment and palliative care only.

**Table I3.2. Cancer Treatment and Palliative Care, Descriptions, ATC Codes, ACFI Code.**

| Description  | Code |
|--|------|
| Antineoplastic and immunomodulating agents. <sup>1</sup>   | L01* |
| Palliative care (ACFI question 12, R14): “The person needs a palliative care program involving end of life care where ongoing care will involve very intensive clinical nursing and/or complex pain management in the residential care setting.” | “Y”  |

1. Determined using 6 months of PBS prescription data prior to the study period.

## Indicator 4. Antibiotic Use

| Data Source | Definition   | Numerator  | Denominator  | Comments | Covariates                        |
|-------------|--|--|--|----------|-----------------------------------|
| PBS         | Number of days per 1000 resident days of long-term care. <sup>7</sup><br><br>Measure is reported per 1000 resident days. | Number of days a long-term resident received at least one antibiotic for systemic use <sup>1</sup> (Table I4.1). | Number of days in residential aged care for long-term residents. |          | Age, sex, number of comorbidities |
| PBS         | Number of DDD per 1000 resident days of long-term care. <sup>7</sup><br><br>Measure is reported per 1000 resident days.  | Number of days a long-term resident received at least one antibiotic for systemic use <sup>1</sup> (Table I4.1). | Number of days in residential aged care for long-term residents. |          | Age, sex, number of comorbidities |
| PBS         | Proportion of long-term residents dispensed an antibiotic.   | Number of long-term residents dispensed least one antibiotic for systemic use <sup>1</sup> (Table I4.1).         | Number of long-term residents of aged care.                      |          | Age, sex, number of comorbidities |

1. Dermatological and ophthalmological antibiotics not included. Days of use is defined as quantity dispensed/DDD quantity per day.

**Table I4.1. Antibiotics for Systemic Use, Descriptions, ATC Codes and PBS Codes.**

| Description                               | ATC Code | PBS Code       |
|---|----------|----------------|
| omeprazole + clarithromycin + amoxicillin | A02BD05  | 08272J, 08376W |

| Description                                 | ATC Code | PBS Code   |
|---|----------|--|
| esomeprazole + clarithromycin + amoxicillin | A02BD06  | 08738X   |
| vancomycin                                  | A07AA09  | 03114X   |
|   |          | 03113W   |
| rifaximin                                   | A07AA11  | 10001J   |
| doxycycline                                 | J01AA02  | 01800R, 02702F, 02703G, 02708M, 02709N, 02714W, 02715X, 03321T, 03322W, 05082L, 09105F, 09107H, 09108J, 10176N, 10777F, 10779H, 10781K |
|   |          | 02707L, 02711Q, 09106G   |
| tetracycline hydrochloride                  | J01AA07  | 02134H, 02135J, 03383C, 02145X, 02146Y, 03386F   |
| minocycline                                 | J01AA08  | 03037W   |
|   |          | 01616C   |
| ampicillin                                  | J01CA01  | 02671N, 05014X   |
|   |          | 02977Q, 03314K, 06531R, 06533W, 06536B   |
|   |          | 01048E   |
|   |          | 02390T, 06527M   |
| amoxicillin                                 | J01CA04  | 05225B, 08705E   |
|   |          | 01887H, 03393N   |
|   |          | 01878W, 03309E   |
|   |          | 01886G, 03302T   |
|   |          | 08581P   |
|   |          | 01889K, 03300Q   |
|   |          | 01883D, 01884E, 03301R   |
|   |          | 03303W   |
|   |          | 01888J   |

| Description                      | ATC Code | PBS Code   |
|----------------------------------|----------|--|
| benzylpenicillin                 | J01CE01  | 02647H, 06569R, 06572X   |
|                                  |          | 01775K, 03398W, 06561H, 06563K, 06564L, 06566N                 |
| phenoxymethylpenicillin          | J01CE02  | 05029Q, 08977L   |
|                                  |          | 02965C, 03028J, 03361X, 03364C                                 |
|                                  |          | 01703P, 01705R, 01787C, 01789E, 03360W, 03363B                 |
| procaine benzylpenicillin        | J01CE09  | 01794K, 03371K   |
| dicloxacillin                    | J01CF01  | 07064T, 07065W, 07067Y, 08124N                                 |
|                                  |          | 05097G, 07061P, 08122L, 08123M, 10790X                         |
|                                  |          | 05096F, 08121K   |
| flucloxacillin                   | J01CF05  | 01529L, 09150N   |
|                                  |          | 01528K, 09149M   |
|                                  |          | 01525G, 06729E, 06731G, 06732H, 06734K, 10605E                 |
|                                  |          | 01524F, 01527J, 05091Y, 06723W, 06725Y, 06728D, 07018J, 10788T |
|                                  |          | 01526H, 05090X   |
| amoxicillin<br>+ clavulanic acid | J01CR02  | 05011R   |
|                                  |          | 08319W   |
|                                  |          | 01892N, 05009P   |
|                                  |          | 05006L, 08254K   |
|                                  |          | 01891M, 05008N   |
| ticarcillin + clavulanic acid    | J01CR03  | 02179Q, 10113G   |
|                                  |          | 06879C, 06881E, 06884H   |
| cefotaxime                       | J01DD01  | 01086E, 01759N, 06599H, 06602L                                 |
|                                  |          | 01085D, 01758M, 06593B, 06596E, 06591X                         |

| Description                     | ATC Code | PBS Code   |
|---------------------------------|----------|--|
| ceftriaxone                     | J01DD04  | 01785Y, 06875W, 06876X, 06878B ,06873R                 |
|                                 |          | 01784X, 01788D, 06869M, 06870N, 06872Q, 06867K, 06868L |
|                                 |          | 01783W, 06866J, 09058R                                 |
|                                 |          | 01782T, 01790F, 06857X                                 |
| cefalexin                       | J01DB01  | 03095X, 03320R   |
|                                 |          | 03094W, 03319Q   |
|                                 |          | 03119E, 03318P, 10778G                                 |
|                                 |          | 02655R, 03058Y, 03317N                                 |
| cefalotin                       | J01DB03  | 02964B, 03376Q, 06609W, 06611Y, 06614D                 |
| cefazolin                       | J01DB04  | 05479J, 09326W   |
|                                 |          | 01257E, 01797N, 01799Q, 05478H, 06633D, 06635F, 06638J |
|                                 |          | 01256D, 05477G, 06629X                                 |
| cefuroxime                      | J01DC02  | 05499K   |
|                                 |          | 05052X, 08292K   |
| cefaclor                        | J01DC04  | 02461M   |
|                                 |          | 02460L   |
|                                 |          | 01169M, 05045M   |
| trimethoprim                    | J01EA01  | 02666H, 02922T, 10785P                                 |
| trimethoprim + sulfamethoxazole | J01EE01  | 03103H   |
|                                 |          | 02951H, 03390K, 10784N                                 |
|                                 |          | 02949F   |
| erythromycin                    | J01FA01  | 02425P   |
|                                 |          | 01401R, 01404X, 03325B, 03328E                         |



| Description                 | ATC Code | PBS Code               |
|-----------------------------|----------|------------------------|
|                             |          | 01400Q                 |
| erythromycin ethylsuccinate | J01FA01  | 02428T, 03337P         |
|                             |          | 02424N, 03334L         |
|                             |          | 02750R, 03336N         |
| erythromycin lactobionate   | J01FA01  | 01397M                 |
|                             |          | 01398N                 |
| roxithromycin               | J01FA06  | 05261X, 08016X         |
|                             |          | 01760P, 05260W         |
|                             |          | 08129W                 |
| clarithromycin              | J01FA09  | 09192T                 |
|                             |          | 05624B, 06152T         |
|                             |          | 05625C, 06151R, 08318T |
| azithromycin                | J01FA10  | 05616N, 08201P         |
|                             |          | 04115N, 08200N, 08336R |
| clindamycin                 | J01FF01  | 03138E, 05057E         |
| lincomycin                  | J01FF02  | 02530E                 |
| tobramycin                  | J01GB01  | 09480Y                 |
|                             |          | 05442K                 |
|                             |          | 01356J, 08872Y         |
|                             |          | 10066T                 |
| gentamicin                  | J01GB03  | 02824P                 |
|                             |          | 01168L                 |
|                             |          | 01068F                 |
| ciprofloxacin               | J01MA02  | 01210Q                 |
|                             |          | 01209P                 |

| Description           | ATC Code | PBS Code  |
|-----------------------|----------|---|
|                       |          | 01208N, 01311B  |
| norfloxacin           | J01MA06  | 03010K  |
| vancomycin            | J01XA01  | 02269K, 02270L  |
|                       |          | 03130R, 03131T, 06767E, 06770H, 06837W,<br>06838X, 06839Y, 06842D |
| fusidate              | J01XC01  | 02311P  |
|                       |          | 02312Q, 10782L  |
| metronidazole         | J01XD01  | 03341W  |
|                       |          | 01630T  |
|                       |          | 01638F, 01821W, 02277W, 05154G                                    |
|                       |          | 01621H, 01626N, 05155H, 05159M                                    |
|                       |          | 01642K  |
|                       |          | 01636D, 03339R  |
| tinidazole            | J01XD02  | 01465D  |
| nitrofurantoin        | J01XE01  | 01691B  |
|                       |          | 01693D  |
|                       |          | 01692C  |
| methenamine hippurate | J01XX05  | 03124K  |
| rifampicin            | J04AB02  | 08025J  |
|                       |          | 01983J, 01984K  |
|                       |          | 01981G, 01982H  |
| isoniazid             | J04AC01  | 01554T  |

## Indicator 5. Premature Mortality

| Data Source | Definition   | Numerator  | Denominator                     | Comments   | Covariates                         |
|-------------|--|--|---------------------------------|--|------------------------------------|
| NDI         | Proportion of short-term residents who had premature deaths, that is their main cause of death is 'external' and considered potentially avoidable. | Number of short-term residents who died and had a main cause of death found in Table 15.1. <sup>40</sup> | Number of short-term residents. | Causes of death used in this indicator were defined as per Ibrahim et al. <sup>40</sup> and additional 'external causes' from the ICD-10-AM listing available. | Age, sex, number of comorbidities. |
| NDI         | Proportion of long-term residents who had premature deaths, that is their main cause of death is 'external' and considered potentially avoidable.  | Number of long-term residents who died and had a main cause of death found in Table 15.1. <sup>40</sup>  | Number of long-term residents.  | Causes of death used in this indicator were defined as per Ibrahim et al. <sup>40</sup> and additional 'external causes' from the ICD-10-AM listing available. | Age, sex, number of comorbidities. |

**Table 15.1. External and Potentially Avoidable Causes of Death, Descriptions and ICD-10-AM Codes.**

| Description   | Code    |
|---|---------|
| Pedestrian injured in transport accident                              | V01-V09 |
| Pedal cyclist injured in transport accident                           | V10-V19 |
| Motorcycle rider injured in transport accident                        | V20-V29 |
| Occupant of three-wheeled motor vehicle injured in transport accident | V30-V39 |
| Car occupant injured in transport accident                            | V40-V49 |
| Occupant of pick-up truck or van injured in transport accident        | V50-V59 |

| Description  | Code    |
|--|---------|
| Occupant of heavy transport vehicle injured in transport accident                        | V60-V69 |
| Bus occupant injured in transport accident   | V70-V79 |
| Other land transport accidents   | V80-V89 |
| Water transport accidents  | V90-V94 |
| Air and space transport accidents  | V95-V97 |
| Other and unspecified transport accidents  | V98-V99 |
| Falls  | W00-W19 |
| Exposure to inanimate mechanical forces  | W20-W49 |
| Exposure to animate mechanical forces  | W50-W64 |
| Accidental drowning and submersion   | W65-W74 |
| Other accidental threats to breathing  | W75-W84 |
| Exposure to electric current, radiation and extreme ambient air temperature and pressure | W85-W99 |
| Exposure to smoke, fire and flames   | X00-X09 |
| Contact with heat and hot substances   | X10-X19 |
| Contact with venomous animals and plants   | X20-X29 |
| Exposure to forces of nature   | X30-X39 |
| Accidental poisoning by and exposure to noxious substances                               | X40-X49 |
| Overexertion, travel and privation   | X50-X57 |
| Accidental exposure to other and unspecified factors                                     | X58-X59 |
| Intentional self-harm  | X60-X84 |
| Assault  | X85-Y09 |
| Event of undetermined intent   | Y10-Y34 |
| Complications of medical and surgical care   | Y40-Y84 |

| Description  | Code    |
|--|---------|
| Drugs, medicaments and biological substances causing adverse effects in therapeutic use  | Y40-Y59 |
| Misadventures to patients during surgical and medical care   | Y60-Y69 |
| Medical devices associated with adverse incidents in diagnostic and therapeutic use  | Y70-Y82 |
| Surgical and other medical procedures as the cause of abnormal reaction of the patient, or of later complication, without mention of misadventure at the time of the procedure | Y83-Y84 |
| Sequelae of external causes of morbidity and mortality   | Y85-Y89 |
| Supplementary factors related to causes of morbidity and mortality classified elsewhere  | Y90-Y98 |

## Indicator 6. Falls

| Data Sources   | Definition   | Numerator   | Denominator                    | Comments  | Covariates  |
|--|--|---|--------------------------------|---|---|
| ISAAC, EDDC, NSW APDC, NSW EDDC, VAED, VAMD, NDI, SAAS <sup>1</sup> , NSW Ambulance <sup>1</sup> | Proportion of long-term residents who have experienced one or more falls resulting in requiring medical attention. | Number of long-term residents with an ambulance service, emergency department presentation, hospitalisation, or death, or injury cause (VIC only), for fall (Table I6.1). | Number of long-term residents. | We have attempted to include only falls that individuals had prior to presenting at the hospital- this means including only 'external causes of injury' for inpatient admissions, and diagnosis for emergency department presentations. See footnotes of Table I6.1 for specific variables from states where this information was extracted from. | Age, sex, number of comorbidities, dementia, mobility (Table I6.2). |

1. SAAS data not currently available but will be used once available.

**Table I6.1. Falls, Descriptions and ICD-10-AM Codes.<sup>1,2</sup>**

| Description  | Code  |
|--|-------|
| Fall due to ice and snow   | W00*  |
| Fall on same level from slipping, tripping and stumbling                             | W01*  |
| Other fall on same level due to collision with another person                        | W03*  |
| Fall while being carried or supported by other persons                               | W04*  |
| Fall from non-moving wheelchair, nonmotorized scooter and motorized mobility scooter | W05 * |
| Fall from bed  | W06*  |
| Fall from chair  | W07*  |
| Fall from other furniture  | W08*  |
| Fall on and from playground equipment  | W09*  |

| Description   | Code  |
|---|-------|
| Fall on and from stairs and steps                       | W10*  |
| Fall on and from ladder                                 | W11*  |
| Fall on and from scaffolding                            | W12*  |
| Fall from, out of or through building or structure      | W13*  |
| Fall from tree  | W14*  |
| Fall from cliff   | W15*  |
| Fall, jump or diving into water                         | W16*  |
| Other fall from one level to another                    | W17*  |
| Other slipping, tripping and stumbling and falls        | W18*  |
| Unspecified fall  | W19*  |
| Tendency to fall, not elsewhere classified <sup>2</sup> | R29.6 |

1. State specific admitted hospitalisation variables: SA and VIC (injury event- external cause code), NSW (first listed external cause within diagnosis array 1). Excluded where onset in hospital. State specific emergency encounters variables: SA and NSW (diagnosis), VIC (injury cause)
2. NSW uses a mix of SNOMED, ICD-9-AM and ICD-10-AM coding over the years in emergency department encounters. All codes in emergency department encounters were mapped to ICD-10-AM codes. All codes mapped to the ICD-10-AM codes in this table were considered falls, except for SNOMED code was 129839007 ('at risk of falls') or 430576002 ('at risk of injury due to falls'), which were excluded and therefore not considered a fall.

**Table I6.2. Assessment of Mobility from ACFI Question 02, Descriptions and Codes.**

| Description  | Code                |
|--|---------------------|
| Independent for both locomotion and transfers.   | A (least dependent) |
| Requires supervision or physical assistance for either transfers OR locomotion but not both.   | B                   |
| Requires supervision or physical assistance with transfers and supervision with locomotion,<br>OR requires supervision with transfers and physical assistance with locomotion. | C                   |
| Requires physical assistance with both transfers and locomotion OR requires mechanical lifting for transfers.  | D (most dependent)  |

## Indicator 7. Fractures

| Data Sources  | Definition  | Numerator   | Denominator                    | Comments | Covariates   |
|---|---|---|--------------------------------|----------|--|
| ISAAC, EDDC, NSW APDC, NSW EDDC, VAED, VAMD, MBS, NDI | Proportion of long-term residents who experience at least one fracture. <sup>41</sup> | Number of long-term residents with an emergency department presentation or hospitalisation for fracture (Table I7.1), or secondary diagnosis where onset is not during the hospitalisation, the external cause of the hospitalisation is fall, treatment for which MBS paid for (Table I7.2), and deaths from fractures (Table I7.1). | Number of long-term residents. |          | Age, sex, number of comorbidities, dementia, mobility (Table I7.3), osteoporosis medication dispensing (Table I7.4). |

**Table I7.1. Fractures, Descriptions and ICD-10-AM Codes.**

| Description  | Code   |
|--|--------|
| Open wound (of any part of head) communicating with a fracture                   | S01.81 |
| Fractures of skull and facial bones  | S02*   |
| Fractures of neck  | S12*   |
| Open wound (of any part of thorax) communicating with a fracture                 | S21.81 |
| Fracture of rib(s), sternum and thoracic spine                                   | S22*   |
| Open wound (of any part of lower back and pelvis) communicating with a fracture  | S31.81 |
| Fracture of lumbar spine and pelvis  | S32*   |
| Open wound (of any part of shoulder and upper arm) communicating with a fracture | S41.81 |
| Fracture of shoulder and upper arm   | S42*   |
| Open wound (of any part of forearm) communicating with a fracture                | S51.81 |
| Fracture of forearm  | S52*   |



| Description  | Code   |
|--|--------|
| Open wound (of any part of wrist and hand) communicating with a fracture | S61.81 |
| Fracture of wrist and hand level   | S62*   |
| Open wound (of any part of hip and thigh) communicating with a fracture  | S71.81 |
| Fracture of femur  | S72*   |
| Open wound (of any part of lower leg) communicating with a fracture      | S81.81 |
| Fracture of lower leg including ankle                                    | S82*   |
| Open wound (of any part of ankle and foot) communicating with a fracture | S91.81 |
| Fracture of foot, except ankle   | S92*   |
| Fractures involving multiple body regions                                | T02*   |
| Fracture of spine, level unspecified                                     | T08*   |
| Fracture of upper limb, level unspecified                                | T10*   |
| Fracture of lower limb, level unspecified                                | T12*   |
| Fracture of unspecified body region                                      | T14.2* |

**Table 17.2. Non-surgical and Surgical Treatment of Fractures, Descriptions and MBS Codes.**

| Description  | Code  |
|--|-------|
| FRACTURED SKULL, depressed or comminuted, operation for  | 39606 |
| FRACTURED SKULL, compound, without dural penetration, operation for  | 39609 |
| FRACTURED SKULL, compound, depressed or complicated, with dural penetration and brain laceration, operation for                                      | 39612 |
| FRACTURED SKULL with rhinorrhoea or otorrhoea, repair of by cranioplasty or endoscopic approach  | 39615 |
| MAXILLA, unilateral or bilateral, treatment of fracture of, not requiring splinting  | 45975 |
| MANDIBLE, treatment of fracture of, not requiring splinting  | 45978 |
| ZYGOMATIC BONE, treatment of fracture of, not requiring surgical reduction   | 45981 |
| MAXILLA, treatment of a complicated fracture of, involving viscera, blood vessels or nerves requiring open reduction not involving plate(s)          | 45984 |
| MANDIBLE, treatment of a complicated fracture of, involving viscera, blood vessels or nerves, requiring open reduction not involving plate(s)        | 45987 |
| MAXILLA, treatment of a complicated fracture of, involving viscera, blood vessels or nerves requiring open reduction involving the use of plate(s)   | 45990 |
| MANDIBLE, treatment of a complicated fracture of, involving viscera, blood vessels or nerves, requiring open reduction involving the use of plate(s) | 45993 |

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|--|-------|
| MANDIBLE, treatment of a closed fracture of, involving a joint surface   | 45996 |
| MALLET FINGER with intra articular fracture involving more than one third of base of terminal phalanx - open reduction   | 46442 |
| RADIOULNAR JOINT, DISTAL or PROXIMAL, treatment of dislocation of, by closed reduction, not being a service associated with fracture or dislocation in the same region   | 47024 |
| RADIOULNAR JOINT, DISTAL or PROXIMAL, treatment of dislocation of, by open reduction, not being a service associated with fracture or dislocation in the same region   | 47027 |
| DISTAL PHALANX of FINGER or THUMB, treatment of fracture of, by closed reduction, including percutaneous fixation where used   | 47300 |
| Phalanx, middle or proximal, treatment of fracture of, by closed reduction, requiring anaesthesia, not provided on the same occasion as a service described in item 47304, 47307, 47310, 47313, 47316 or 47319 | 47301 |
| DISTAL PHALANX of FINGER or THUMB, treatment of intra-articular fracture of, by closed reduction   | 47303 |
| DISTAL PHALANX of FINGER or THUMB, treatment of fracture of, by open reduction   | 47306 |
| Phalanx or metacarpal, treatment of fracture of, by closed reduction with percutaneous K wire fixation   | 47307 |
| DISTAL PHALANX of FINGER or THUMB, treatment of intra-articular fracture of, by open reduction   | 47309 |
| Phalanx or metacarpal, treatment of fracture of, by open reduction with fixation   | 47310 |
| MIDDLE PHALANX of FINGER, treatment of fracture of, by closed reduction  | 47312 |
| Phalanx or metacarpal, treatment of intra articular fracture of, by closed reduction with percutaneous K wire fixation   | 47313 |
| MIDDLE PHALANX of FINGER, treatment of intra-articular fracture of, by closed reduction  | 47315 |
| Phalanx or metacarpal, treatment of intra articular fracture of, by open reduction with fixation, not provided on the same occasion as a service to which item 47319 applies                                   | 47316 |
| MIDDLE PHALANX OF FINGER, treatment of fracture of, by open reduction  | 47318 |
| MIDDLE PHALANX OF FINGER, treatment of intra-articular fracture of, by open reduction  | 47321 |
| PROXIMAL PHALANX OF FINGER OR THUMB, treatment of fracture of, by closed reduction   | 47324 |
| PROXIMAL PHALANX OF FINGER OR THUMB, treatment of intra-articular fracture of, by closed reduction   | 47327 |
| PROXIMAL PHALANX OF FINGER OR THUMB, treatment of fracture of, by open reduction   | 47330 |
| PROXIMAL PHALANX OF FINGER OR THUMB, treatment of intra-articular fracture of, by open operation   | 47333 |
| METACARPAL, treatment of fracture of, by closed reduction  | 47336 |
| METACARPAL, treatment of intra-articular fracture of, by closed reduction  | 47339 |
| METACARPAL, treatment of fracture of, by open reduction  | 47342 |
| METACARPAL, treatment of intra-articular fracture of, by open reduction  | 47345 |
| CARPUS (excluding scaphoid), treatment of fracture of, not being a service to which item 47351 applies   | 47348 |
| CARPUS (excluding scaphoid), treatment of fracture of, by open reduction   | 47351 |
| CARPAL SCAPHOID, treatment of fracture of, not being a service to which item 47357 applies   | 47354 |
| CARPAL SCAPHOID, treatment of fracture of, by open reduction   | 47357 |
| RADIUS OR ULNA, distal end of, treatment of fracture of, by cast immobilisation, not being a service to which item 47363 or 47366 applies  | 47360 |

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|---|-------|
| Radius or ulna, or radius and ulna, distal end of, treatment of fracture of, by cast immobilisation, other than a service associated with a service to which item 47362, 47364, 47367, 47370 or 47373 applies   | 47361 |
| Radius or ulna, or radius and ulna, distal end of, treatment of fracture of, by closed reduction, requiring general or major regional anaesthesia, but excluding local infiltration, other than a service associated with a service to which item 47361, 47364, 47367, 47370 or 47373 applies | 47362 |
| RADIUS OR ULNA, distal end of, treatment of fracture of, by closed reduction  | 47363 |
| Radius or ulna, distal end of, not involving joint surface, treatment of fracture of, by open reduction with fixation, other than a service associated with a service to which item 47361 or 47362 applies  | 47364 |
| RADIUS OR ULNA, distal end of, treatment of fracture of, by open reduction  | 47366 |
| Radius, distal end of, treatment of fracture of, by closed reduction with percutaneous fixation, other than a service associated with a service to which item 47361 or 47362 applies  | 47367 |
| RADIUS, distal end of, treatment of Colles', Smith's or Barton's fracture of, by cast immobilisation, not being a service to which item 47372 or 47375 applies  | 47369 |
| Radius, distal end of, treatment of intra articular fracture of, by open reduction with fixation, other than a service associated with a service to which item 47361 or 47362 applies   | 47370 |
| RADIUS, distal end of, treatment of Colles', Smith's or Barton's fracture, by closed reduction  | 47372 |
| Ulna, distal end of, treatment of intra articular fracture of, by open reduction with fixation, other than a service associated with a service to which item 47361 or 47362 applies   | 47373 |
| RADIUS, distal end of, treatment of Colles', Smith's or Barton's fracture of, by open reduction   | 47375 |
| RADIUS OR ULNA, shaft of, treatment of fracture of, by cast immobilisation, not being a service to which item 47381, 47384, 47385 or 47386 applies  | 47378 |
| RADIUS OR ULNA, shaft of, treatment of fracture of, by closed reduction undertaken in the operating theatre of a hospital   | 47381 |
| RADIUS OR ULNA, shaft of, treatment of fracture of, by open reduction   | 47384 |
| RADIUS OR ULNA, shaft of, treatment of fracture of, in conjunction with dislocation of distal radio-ulnar joint or proximal radio-humeral joint (Galeazzi or Monteggia injury), by closed reduction undertaken in the operating theatre of a hospital   | 47385 |
| RADIUS OR ULNA, shaft of, treatment of fracture of, in conjunction with dislocation of distal radio-ulnar joint or proximal radio-humeral joint (Galeazzi or Monteggia injury), by open reduction or internal fixation  | 47386 |
| RADIUS AND ULNA, shafts of, treatment of fracture of, by cast immobilisation, not being a service to which item 47390 or 47393 applies  | 47387 |
| RADIUS AND ULNA, shafts of, treatment of fracture of, by closed reduction undertaken in the operating theatre of a hospital   | 47390 |
| RADIUS AND ULNA, shafts of, treatment of fracture of, by open reduction   | 47393 |
| OLECRANON, treatment of fracture of, not being a service to which item 47399 applies  | 47396 |
| OLECRANON, treatment of fracture of, by open reduction  | 47399 |
| OLECRANON, treatment of fracture of, involving excision of olecranon fragment and reimplantation of tendon  | 47402 |
| RADIUS, treatment of fracture of head or neck of, closed reduction of   | 47405 |
| RADIUS, treatment of fracture of head or neck of, open reduction of, including internal fixation and excision where performed   | 47408 |
| HUMERUS, treatment of fracture of tuberosity of, not being a service to which item 47417 applies  | 47411 |
| HUMERUS, treatment of fracture of tuberosity of, by open reduction  | 47414 |

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|---|-------|
| HUMERUS, treatment of fracture of tuberosity of, and associated dislocation of shoulder, by closed reduction  | 47417 |
| HUMERUS, treatment of fracture of tuberosity of, and associated dislocation of shoulder, by open reduction  | 47420 |
| HUMERUS, proximal, treatment of fracture of, not being a service to which item 47426, 47429 or 47432 applies  | 47423 |
| HUMERUS, proximal, treatment of fracture of, by closed reduction, undertaken in the operating theatre of a hospital   | 47426 |
| HUMERUS, proximal, treatment of fracture of, by open reduction  | 47429 |
| HUMERUS, proximal, treatment of intra-articular fracture of, by open reduction  | 47432 |
| HUMERUS, proximal, treatment of fracture of, and associated dislocation of shoulder, by closed reduction  | 47435 |
| HUMERUS, proximal, treatment of fracture of, and associated dislocation of shoulder, by open reduction  | 47438 |
| HUMERUS, proximal, treatment of intra-articular fracture of, and associated dislocation of shoulder, by open reduction  | 47441 |
| HUMERUS, shaft of, treatment of fracture of, not being a service to which item 47447 or 47450 applies   | 47444 |
| HUMERUS, shaft of, treatment of fracture of, by closed reduction, undertaken in the operating theatre of a hospital   | 47447 |
| HUMERUS, shaft of, treatment of fracture of, by internal or external fixation   | 47450 |
| HUMERUS, shaft of, treatment of fracture of, by intramedullary fixation   | 47451 |
| HUMERUS, distal (supracondylar or condylar), treatment of fracture of, not being a service to which item 47456 or 47459 applies   | 47453 |
| HUMERUS, distal (supracondylar or condylar), treatment of fracture of, by closed reduction, undertaken in the operating theatre of a hospital   | 47456 |
| HUMERUS, distal (supracondylar or condylar), treatment of fracture of, by open reduction, undertaken in the operating theatre of a hospital   | 47459 |
| CLAVICLE, treatment of fracture of, not being a service to which item 47465 applies   | 47462 |
| CLAVICLE, treatment of fracture of, by open reduction   | 47465 |
| STERNUM, treatment of fracture of, not being a service to which item 47467 applies  | 47466 |
| STERNUM, treatment of fracture of, by open reduction  | 47467 |
| SCAPULA, neck or glenoid region of, treatment of fracture of, by open reduction   | 47468 |
| RIBS (1 or more), treatment of fracture of - each attendance  | 47471 |
| PELVIC RING, treatment of fracture of, not involving disruption of pelvic ring or acetabulum  | 47474 |
| PELVIC RING, treatment of fracture of, with disruption of pelvic ring or acetabulum   | 47477 |
| PELVIC RING, treatment of fracture of, requiring traction   | 47480 |
| PELVIC RING, treatment of fracture of, requiring control by external fixation   | 47483 |
| PELVIC RING, treatment of fracture of, by open reduction and involving internal fixation of anterior segment, including diastasis of pubic symphysis                                      | 47486 |
| PELVIC RING, treatment of fracture of, by open reduction and involving internal fixation of posterior segment (including sacro-iliac joint), with or without fixation of anterior segment | 47489 |
| ACETABULUM, treatment of fracture of, and associated dislocation of hip   | 47492 |
| ACETABULUM, treatment of fracture of, and associated dislocation of hip, requiring traction   | 47495 |

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| ACETABULUM, treatment of fracture of, and associated dislocation of hip, requiring internal fixation, with or without traction   | 47498 |
| ACETABULUM, treatment of single column fracture of, by open reduction and internal fixation, including any osteotomy, osteectomy or capsulotomy required for exposure and subsequent repair, and excluding services to which item 47933 or 47936 apply | 47501 |
| ACETABULUM, treatment of T-shape fracture of, by open reduction and internal fixation, including any osteotomy, osteectomy or capsulotomy required for exposure and subsequent repair, and excluding services to which item 47933 or 47936 apply       | 47504 |
| ACETABULUM, treatment of transverse fracture of, by open reduction and internal fixation, including any osteotomy, osteectomy or capsulotomy required for exposure and subsequent repair, and excluding services to which item 47933 or 47936 apply    | 47507 |
| ACETABULUM, treatment of double column fracture of, by open reduction and internal fixation, including any osteotomy, osteectomy or capsulotomy required for exposure and subsequent repair, and excluding services to which item 47933 or 47936 apply | 47510 |
| FEMUR, treatment of fracture of, by closed reduction or traction   | 47516 |
| FEMUR, treatment of trochanteric or subcapital fracture of, by internal fixation   | 47519 |
| FEMUR, treatment of subcapital fracture of, by hemi-arthroplasty   | 47522 |
| FEMUR, treatment of fracture of, for slipped capital femoral epiphysis   | 47525 |
| FEMUR, treatment of fracture of, by internal fixation or external fixation   | 47528 |
| FEMUR, treatment of fracture of shaft, by intramedullary fixation and cross fixation   | 47531 |
| FEMUR, condylar region of, treatment of intra-articular (T-shaped condylar) fracture of, requiring internal fixation, with or without internal fixation of 1 or more osteochondral fragments   | 47534 |
| FEMUR, condylar region of, treatment of fracture of, requiring internal fixation of 1 or more osteochondral fragments, not being a service associated with a service to which item 47534 applies   | 47537 |
| TIBIA, plateau of, treatment of medial or lateral fracture of, not being a service to which item 47546 or 47549 applies  | 47543 |
| TIBIA, plateau of, treatment of medial or lateral fracture of, by closed reduction   | 47546 |
| TIBIA, plateau of, treatment of medial or lateral fracture of, by open reduction   | 47549 |
| TIBIA, plateau of, treatment of both medial and lateral fractures of, not being a service to which item 47555 or 47558 applies   | 47552 |
| TIBIA, plateau of, treatment of both medial and lateral fractures of, by closed reduction  | 47555 |
| TIBIA, plateau of, treatment of both medial and lateral fractures of, by open reduction  | 47558 |
| TIBIA, shaft of, treatment of fracture of, by cast immobilisation, not being a service to which item 47564, 47567, 47570 or 47573 applies  | 47561 |
| TIBIA, shaft of, treatment of fracture of, by closed reduction, with or without treatment of fibular fracture  | 47564 |
| TIBIA, shaft of, treatment of fracture of, by internal fixation or external fixation   | 47565 |
| TIBIA, shaft of, treatment of fracture of, by intramedullary fixation and cross fixation   | 47566 |
| TIBIA, shaft of, treatment of intra-articular fracture of, by closed reduction, with or without treatment of fibular fracture  | 47567 |
| TIBIA, shaft of, treatment of fracture of, by open reduction, with or without treatment of fibular fracture  | 47570 |
| TIBIA, shaft of, treatment of intra-articular fracture of, by open reduction, with or without treatment of fibula fracture   | 47573 |
| FIBULA, treatment of fracture of   | 47576 |

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| PATELLA, treatment of fracture of, not being a service to which item 47582 or 47585 applies   | 47579 |
| PATELLA, treatment of fracture of, by excision of patella or pole with reattachment of tendon   | 47582 |
| PATELLA, treatment of fracture of, by internal fixation   | 47585 |
| KNEE JOINT, treatment of fracture of, by internal fixation of intra-articular fractures of femoral condylar or tibial articular surfaces and requiring repair or reconstruction of 1 or more ligaments  | 47588 |
| KNEE JOINT, treatment of fracture of, by internal fixation of intra-articular fractures of femoral condylar and tibial articular surfaces and requiring repair or reconstruction of 1 or more ligaments | 47591 |
| ANKLE JOINT, treatment of fracture of, not being a service to which item 47597 applies  | 47594 |
| ANKLE JOINT, treatment of fracture of, by closed reduction  | 47597 |
| ANKLE JOINT, treatment of fracture of, by internal fixation of 1 of malleolus, fibula or diastasis  | 47600 |
| ANKLE JOINT, treatment of fracture of, by internal fixation of more than 1 of malleolus, fibula or diastasis  | 47603 |
| CALCANEUM OR TALUS, treatment of fracture of, not being a service to which item 47609, 47612, 47615 or 47618 applies, with or without dislocation   | 47606 |
| CALCANEUM OR TALUS, treatment of fracture of, by closed reduction, with or without dislocation  | 47609 |
| CALCANEUM OR TALUS, treatment of intra-articular fracture of, by closed reduction, with or without dislocation  | 47612 |
| CALCANEUM OR TALUS, treatment of fracture of, by open reduction, with or without dislocation  | 47615 |
| CALCANEUM OR TALUS, treatment of intra-articular fracture of, by open reduction, with or without dislocation  | 47618 |
| TARSO-METATARSAL, treatment of intra-articular fracture of, by closed reduction, with or without dislocation  | 47621 |
| TARSO-METATARSAL, treatment of fracture of, by open reduction, with or without dislocation  | 47624 |
| TARSUS (excluding calcaneum or talus), treatment of fracture of   | 47627 |
| TARSUS (excluding calcaneum or talus), treatment of fracture of, by open reduction, with or without dislocation   | 47630 |
| METATARSAL, 1 of, treatment of fracture of  | 47633 |
| METATARSAL, 1 of, treatment of fracture of, by closed reduction   | 47636 |
| METATARSAL, 1 of, treatment of fracture of, by open reduction   | 47639 |
| METATARSALS, 2 of, treatment of fracture of   | 47642 |
| METATARSALS, 2 of, treatment of fracture of, by closed reduction  | 47645 |
| METATARSALS, 2 of, treatment of fracture of, by open reduction  | 47648 |
| METATARSALS, 3 or more of, treatment of fracture of   | 47651 |
| METATARSALS, 3 or more of, treatment of fracture of, by closed reduction  | 47654 |
| METATARSALS, 3 or more of, treatment of fracture of, by open reduction  | 47657 |
| PHALANX OF GREAT TOE, treatment of fracture of, by closed reduction   | 47663 |
| PHALANX OF GREAT TOE, treatment of fracture of, by open reduction   | 47666 |
| PHALANX OF TOE (other than great toe), 1 of, treatment of fracture of, by open reduction  | 47672 |
| PHALANX OF TOE (other than great toe), more than 1 of, treatment of fracture of, by open reduction  | 47678 |
| SPINE (excluding sacrum), treatment of fracture of transverse process, vertebral body, or posterior elements - each attendance  | 47681 |

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| SPINE, treatment of fracture, dislocation or fracture-dislocation, without spinal cord involvement, with immobilisation by calipers or halo   | 47684 |
| SPINE, treatment of fracture, dislocation or fracture-dislocation, with spinal cord involvement, with immobilisation by calipers or halo, and including up to 14 days post-operative care   | 47687 |
| SPINE, treatment of fracture, dislocation or fracture-dislocation, without cord involvement, with immobilisation by calipers or halo, requiring reduction by closed manipulation  | 47690 |
| SPINE, treatment of fracture, dislocation or fracture-dislocation, with cord involvement, with immobilisation by calipers or halo, requiring reduction by closed manipulation, including up to 14 days post-operative care                                  | 47693 |
| SPINE, reduction of fracture or dislocation of, without cord involvement, undertaken in the operating theatre of a hospital   | 47696 |
| SPINE, treatment of fracture, dislocation or fracture-dislocation, without cord involvement, requiring open reduction with or without internal fixation   | 47699 |
| SPINE, treatment of fracture, dislocation or fracture-dislocation, with cord involvement, requiring open reduction with or without internal fixation, including up to 14 days post-operative care   | 47702 |
| SKULL, treatment of fracture of, each attendance  | 47703 |
| NASAL BONES, treatment of fracture of, not being a service to which item 47738 or 47741 applies - each attendance   | 47735 |
| NASAL BONES, treatment of fracture of, by reduction   | 47738 |
| NASAL BONES, treatment of fracture of, by open reduction involving osteotomies  | 47741 |
| MAXILLA, treatment of fracture of, requiring splinting, wiring of teeth, circumosseous fixation or external fixation  | 47753 |
| MANDIBLE, treatment of fracture of, requiring splinting, wiring of teeth, circumosseous fixation or external fixation   | 47756 |
| ZYGOMATIC BONE, treatment of fracture of, requiring surgical reduction by a temporal, intra-oral or other approach  | 47762 |
| ZYGOMATIC BONE, treatment of fracture of, requiring surgical reduction and involving internal or external fixation at 1 site  | 47765 |
| ZYGOMATIC BONE, treatment of fracture of, requiring surgical reduction and involving internal or external fixation or both at 2 sites   | 47768 |
| ZYGOMATIC BONE, treatment of fracture of, requiring surgical reduction and involving internal or external fixation or both at 3 sites   | 47771 |
| MAXILLA, treatment of fracture of, requiring open operation   | 47774 |
| MANDIBLE, treatment of fracture of, requiring open reduction  | 47777 |
| MAXILLA, treatment of fracture of, requiring open reduction and internal fixation not involving plate(s)  | 47780 |
| MANDIBLE, treatment of fracture of, requiring open reduction and internal fixation not involving plate(s)   | 47783 |
| MAXILLA, treatment of fracture of, requiring open reduction and internal fixation involving plate(s)  | 47786 |
| MANDIBLE, treatment of fracture of, requiring open reduction and internal fixation involving plate(s)   | 47789 |
| HIP, treatment of a fracture of the femur where revision total hip replacement is required as part of the treatment of the fracture (not including intra-operative fracture), being a service associated with a service to which items 49324 to 49333 apply | 49336 |
| MAXILLA, unilateral or bilateral, treatment of fracture of, not requiring splinting   | 53400 |
| MANDIBLE, treatment of fracture of, not requiring splinting   | 53403 |

|  |       |
|--|-------|
| MAXILLA, treatment of fracture of, requiring splinting, wiring of teeth, circumosseous fixation or external fixation                                 | 53406 |
| MANDIBLE, treatment of fracture of, requiring splinting, wiring of teeth, circumosseous fixation or external fixation                                | 53409 |
| ZYGOMATIC BONE, treatment of fracture of, not requiring surgical reduction   | 53410 |
| ZYGOMATIC BONE, treatment of fracture of, requiring surgical reduction by a temporal, intra-oral or other approach                                   | 53411 |
| ZYGOMATIC BONE, treatment of fracture of, requiring surgical reduction and involving internal or external fixation at 1 site                         | 53412 |
| ZYGOMATIC BONE, treatment of fracture of, requiring surgical reduction and involving internal or external fixation or both at 2 sites                | 53413 |
| ZYGOMATIC BONE, treatment of fracture of, requiring surgical reduction and involving internal or external fixation or both at 3 sites                | 53414 |
| MAXILLA, treatment of fracture of, requiring open reduction  | 53415 |
| MANDIBLE, treatment of fracture of, requiring open reduction   | 53416 |
| MAXILLA, treatment of fracture of, requiring open reduction and internal fixation not involving plate(s)   | 53418 |
| MANDIBLE, treatment of fracture of, requiring open reduction and internal fixation not involving plate(s)  | 53419 |
| MAXILLA, treatment of fracture of, requiring open reduction and internal fixation involving plate(s)   | 53422 |
| MANDIBLE, treatment of fracture of, requiring open reduction and internal fixation involving plate(s)  | 53423 |
| MAXILLA, treatment of a complicated fracture of, involving viscera, blood vessels or nerves, requiring open reduction not involving plate(s)         | 53424 |
| MANDIBLE, treatment of a complicated fracture of, involving viscera, blood vessels or nerves, requiring open reduction not involving plate(s)        | 53425 |
| MAXILLA, treatment of a complicated fracture of, involving viscera, blood vessels or nerves, requiring open reduction involving the use of plate(s)  | 53427 |
| MANDIBLE, treatment of a complicated fracture of, involving viscera, blood vessels or nerves, requiring open reduction involving the use of plate(s) | 53429 |
| MANDIBLE, treatment of a closed fracture of, involving a joint surface   | 53439 |
| NASAL BONES, treatment of fracture of, not being a service to which item 53459 or 53460 applies  | 53458 |
| NASAL BONES, treatment of fracture of, by reduction  | 53459 |
| NASAL BONES, treatment of fractures of, by open reduction involving osteotomies  | 53460 |



**Table 17.3 Assessment of Mobility from ACFI Question 02, Descriptions and Codes.**

| Description   | Code                |
|---|---------------------|
| Independent for both locomotion and transfers.  | A (least dependent) |
| Requires supervision or physical assistance for either transfers OR locomotion but not both.  | B                   |
| Requires supervision or physical assistance with transfers and supervision with locomotion, OR requires supervision with transfers and physical assistance with locomotion. | C                   |
| Requires physical assistance with both transfers and locomotion OR requires mechanical lifting for transfers.   | D (most dependent)  |

**Table 17.4. Osteoporosis Medications, Descriptions and ATC Codes.**

| Description                                      | Code            |
|--|-----------------|
| Bisphosphonates and bisphosphonates combinations | M05BA01–M05BB05 |
| Strontium ranelate                               | M05BX03         |
| Denosumab  | M05BX04         |
| Raloxifene                                       | G03XC01         |
| Teriparatide                                     | H05AA02         |

## Indicator 8. Medication-related Adverse Events

| Data sources                                | Definition   | Numerator  | Denominator                    | Comments | Covariates                         |
|---|--|--|--------------------------------|----------|------------------------------------|
| ISAAC, EDDC, NSW APDC, NSW EDDC, VAED, VAMD | Proportion of long-term residents who had an emergency department presentation or hospitalisation for medication-related events. | Number of long-term residents who had an emergency department presentation or hospitalisation where a medication-related event was the principal discharge diagnosis for the encounter (Table 18.1) or the external cause type for the encounter (Table 18.2). | Number of long-term residents. |          | Age, sex, number of comorbidities. |

**Table 18.1 Medication-related Adverse Events, Diagnosis Descriptions and ICD-10-AM Codes.**

| Description   | Code   |
|---|--------|
| Enterocolitis due to <i>Clostridium difficile</i>       | A04.7* |
| Acute paralytic poliomyelitis, vaccine-associated       | A80.0  |
| Drug-induced folate deficiency anaemia                  | D52.1  |
| Drug-induced autoimmune hemolytic Anemia                | D59.0  |
| Drug-induced non-autoimmune hemolytic Anemia            | D59.2  |
| Drug-induced aplastic Anemia                            | D61.1  |
| Secondary sideroblastic anaemia due to drugs and toxins | D64.2  |
| Hemorrhagic disorder due to circulating anticoagulants  | D68.3  |

| Description  | Code   |
|--|--------|
| Purpura and other haemorrhagic conditions  | D69.0  |
| Secondary thrombocytopenia   | D69.5  |
| Hypothyroidism due to medicaments and other exogenous substances                                   | E03.2  |
| Drug-induced thyroiditis   | E06.4  |
| Nondiabetic hypoglycemic coma  | E15    |
| Drug-induced hypoglycaemia without coma  | E16.0  |
| Drug-induced hypopituitarism   | E23.1  |
| Drug-induced Cushing syndrome  | E24.2  |
| Drug-induced adrenocortical insufficiency  | E27.3  |
| Drug-induced obesity   | E66.10 |
| Mental and behavioural disorders due to use of opioids   | F11*   |
| Mental and behavioural disorders due to use of sedatives or hypnotics                              | F13*   |
| Mental and behavioural disorders due to use of other stimulants, including caffeine                | F15*   |
| Mental and behavioural disorders due to multiple drug use and use of other psychoactive substances | F19    |
| Abuse of non-dependence-producing substances   | F55*   |
| Malignant neuroleptic syndrome   | G21.0  |
| Other drug-induced secondary parkinsonism  | G21.1  |
| Secondary parkinsonism due to other external agents  | G21.2  |
| Drug-induced dystonia  | G24.0  |
| Drug-induced tremor  | G25.1  |
| Drug-induced chorea  | G25.4  |
| Drug-induced tics and other tics of organic origin   | G25.6  |
| Drug-induced headache, not elsewhere classified  | G44.4  |
| Drug-induced polyneuropathy  | G62.0  |

| Description  | Code  |
|--|-------|
| Drug-induced myopathy  | G72.0 |
| Drug-induced cataract  | H26.3 |
| Glaucoma secondary to drugs                                      | H40.6 |
| Ototoxic hearing loss  | H91.0 |
| Cardiomyopathy due to drugs and other external agents            | I42.7 |
| Hypotension due to drugs   | I95.2 |
| Acute drug-induced interstitial lung disorders                   | J70.2 |
| Chronic drug-induced interstitial lung disorders                 | J70.3 |
| Drug-induced interstitial lung disorders                         | J70.4 |
| Toxic gastroenteritis and colitis                                | K52.1 |
| Toxic liver disease with cholestasis                             | K71.0 |
| Toxic liver disease with hepatic necrosis                        | K71.1 |
| Toxic liver disease with acute hepatitis                         | K71.2 |
| Toxic liver disease with hepatitis, not elsewhere classified     | K71.6 |
| Toxic liver disease, unspecified                                 | K71.9 |
| Drug-induced acute pancreatitis                                  | K85.3 |
| Drug-induced pemphigus   | L10.5 |
| Allergic contact dermatitis due to drugs in contact with skin    | L23.3 |
| Irritant contact dermatitis due to drugs in contact with skin    | L24.4 |
| Unspecified contact dermatitis due to drugs in contact with skin | L25.1 |
| Generalized skin eruption due to drugs and medicaments           | L27.0 |
| Localized skin eruption due to drugs and medicaments             | L27.1 |
| Dermatitis due to other substances taken internally              | L27.8 |
| Dermatitis due to unspecified substance taken internally         | L27.9 |
| Lichenoid drug reaction  | L43.2 |

| Description  | Code   |
|--|--------|
| Toxic epidermal necrolysis   | L51.2  |
| Drug phototoxic response   | L56.0  |
| Drug photoallergic response  | L56.1  |
| Drug-induced androgenic alopecia   | L64.0  |
| Drug-induced gout  | M10.2* |
| Drug-induced systemic lupus erythematosus  | M32.0  |
| Systemic sclerosis induced by drugs and chemicals  | M34.2  |
| Drug-induced osteoporosis with pathological fracture   | M80.4* |
| Drug-induced osteoporosis  | M81.4* |
| Other drug-induced osteomalacia in adults  | M83.5  |
| Osteonecrosis due to drugs   | M87.1  |
| Analgesic nephropathy  | N14.0  |
| Nephropathy induced by other drugs, medicaments and biological substances                                | N14.1  |
| Nephropathy induced by unspecified drug, medicament or biological substance                              | N14.2  |
| Nephropathy induced by heavy metals  | N14.3  |
| Toxic nephropathy, not elsewhere classifieds   | N14.4  |
| Drug-induced fever   | R50.2  |
| Poisoning by systemic antibiotics  | T36*   |
| Poisoning by other systemic anti-infectives and antiparasitics   | T37*   |
| Poisoning by, adverse effect of and underdosing of glucocorticoids and synthetic analogues               | T38*   |
| Poisoning by, adverse effect of and underdosing of nonopioid analgesics, antipyretics and antirheumatics | T39*   |
| Poisoning by, adverse effect of and underdosing of narcotics and psychodysleptics [hallucinogens]        | T40*   |
| Poisoning by, adverse effect of and underdosing of anesthetics and therapeutic gases                     | T41*   |

| Description   | Code  |
|---|-------|
| Poisoning by antiepileptic, sedative-hypnotic and antiparkinsonism drugs  | T42*  |
| Poisoning by psychotropic drugs, not elsewhere classified   | T43*  |
| Poisoning by drugs primarily affecting the autonomic nervous system   | T44*  |
| Poisoning by, adverse effect of and underdosing of primarily systemic and hematological agents, not elsewhere classified                  | T45*  |
| Poisoning by, adverse effect of and underdosing of agents primarily affecting the cardiovascular system                                   | T46*  |
| Poisoning by, adverse effect of and underdosing of agents primarily affecting the gastrointestinal system                                 | T47*  |
| Poisoning by, adverse effect of and underdosing of agents primarily acting on smooth and skeletal muscles and the respiratory system      | T48*  |
| Poisoning by topical agents primarily affecting skin and mucous membrane and by ophthalmological, otorhinolaryngological and dental drugs | T49*  |
| Poisoning by diuretics and other and unspecified drugs, medicaments and biological substances   | T50*  |
| Anaphylactic shock, unspecified   | T78.2 |
| Angioneurotic edema   | T78.3 |
| Allergy, unspecified  | T78.4 |
| Other adverse effects, not elsewhere classified   | T78.8 |
| Adverse effect, unspecified   | T78.9 |
| Vascular complications following infusion, transfusion and therapeutic injection  | T80.1 |
| Infections following infusion, transfusion and therapeutic injection  | T80.2 |
| ABO incompatibility reaction  | T80.3 |
| Rh incompatibility reaction   | T80.4 |
| Anaphylactic shock due to serum   | T80.5 |
| Complications following infusion, transfusion and therapeutic injection: other serum reactions  | T80.6 |
| Other complications following infusion, transfusion and therapeutic injection   | T80.8 |

| Description  | Code  |
|--|-------|
| Unspecified complication following infusion, transfusion and therapeutic injection           | T80.9 |
| Malignant hyperthermia due to anaesthesia  | T88.3 |
| Anaphylactic shock due to adverse effect of correct drug or medicament properly administered | T88.6 |
| Unspecified adverse effect of drug or medicament   | T88.7 |
| Sequelae of poisoning by drugs, medicaments and biological substances                        | T96   |

**Table 18.2 Medication-related Adverse Events, External Cause Descriptions and ICD-10-AM Codes.**

| Description   | Code |
|---|------|
| Accidental poisoning by and exposure to nonopioid analgesics antipyretics and antirheumatics  | X40* |
| Accidental poisoning by and exposure to antiepileptic, sedative-hypnotic, antiparkinsonism and psychotropic drugs, not elsewhere classified           | X41* |
| Accidental poisoning by and exposure to narcotics and psychodysleptics [hallucinogens] not elsewhere classified                                       | X42* |
| Accidental poisoning by and exposure to other drugs acting on the autonomic nervous system  | X43* |
| Accidental poisoning by and exposure to other and unspecified drugs, medicaments and biological substances  | X44* |
| Poisoning by and exposure to nonopioid analgesics antipyretics and antirheumatics, undetermined intent  | Y10  |
| Poisoning by and exposure to antiepileptic, sedative-hypnotic, antiparkinsonism and psychotropic drugs, not elsewhere classified, undetermined intent | Y11* |
| Poisoning by and exposure to narcotics and psychodysleptics [hallucinogens] not elsewhere classified  | Y12* |
| Poisoning by and exposure to other drugs acting on the autonomic nervous system, undetermined intent  | Y13* |
| Poisoning by and exposure to other and unspecified drugs, medicaments and biological substances, undetermined intent                                  | Y14* |

| Description   | Code    |
|---|---------|
| Drugs, medicaments and biological substances causing adverse effects in therapeutic use               | Y40-Y59 |
| Nonadministration of necessary drug, medicament or biological substance                               | Y63.6   |
| Sequelae of adverse effects caused by drugs, medicaments and biological substances in therapeutic use | Y88.0   |



## Indicator 9. Weight Loss or Malnutrition

| Data Sources                                | Definition  | Numerator   | Denominator  | Comments   | Covariates                         |
|---|---|---|--|--|------------------------------------|
| ISAAC, EDDC, NSW APDC, NSW EDDC, VAED, VAMD | Proportion of long-term residents with any emergency department presentation or hospitalisation, where weight loss or malnutrition were reported. <sup>41, 42</sup> | Number of long-term residents who had an emergency department presentation or hospitalisation where this was recorded in any of the discharge diagnoses for the encounter (Table I9.1). | Number of long-term residents who do not have cancer and are not in palliative care. | Cancer exclusion: 6 months prior to reporting period history of antineoplastic agents (Table I9.2).<br><br>Palliative care exclusion is from entry into residential aged care assessment (Table I9.2). | Age, sex, number of comorbidities. |

**Table I9.1. Weight Loss or Malnutrition, Descriptions and ICD-10-AM Codes.**

| Description                                      | Codes |
|--|-------|
| Unspecified severe protein-calorie malnutrition  | E43*  |
| Moderate protein-calorie malnutrition            | E44.0 |
| Mild protein-calorie malnutrition                | E44.1 |
| Unspecified protein-calorie malnutrition         | E46*  |
| Nutritional deficiency, unspecified              | E63.9 |
| Abnormal weight loss                             | R63.4 |
| Underweight                                      | R63.6 |
| Cachexia, applicable to wasting syndrome         | R64*  |
| Underweight: Body mass index 19.9 or less, adult | Z68.1 |

**Table 19.2. Cancer Treatment and Palliative Care, Descriptions, ATC Codes, ACFI Code.**

| Description  | Code |
|--|------|
| Antineoplastic and immunomodulating agents. <sup>1</sup>   | L01* |
| Palliative care (ACFI question 12, R14): “The person needs a palliative care program involving end of life care where ongoing care will involve very intensive clinical nursing and/or complex pain management in the residential care setting.” | “Y”  |

1. Determined using 6 months of PBS prescription data prior to the study period.

## Indicator 10. Delirium and/or Dementia

| Data Sources                                | Definition  | Numerator  | Denominator  | Comments | Covariates                         |
|---|---|--|--|----------|------------------------------------|
| ISAAC, EDDC, NSW APDC, NSW EDDC, VAED, VAMD | Proportion of long-term residents who had an emergency department presentation or hospitalisation for delirium or dementia. | Number of long-term residents who had an emergency department presentation or hospitalisation where dementia or delirium were the principal discharge diagnoses for the encounter (Table I10.1). <sup>43</sup> | Number of long-term residents with dementia diagnosis. |          | Age, sex, number of comorbidities. |

**Table I10.1. Delirium and Dementia, Descriptions and ICD-10-AM Codes.**

| Description  | Code  |
|--|-------|
| Other symptoms and signs involving cognitive functions and awareness                 | R41   |
| Disorientation, unspecified  | R41.0 |
| Other and unspecified symptoms and signs involving cognitive functions and awareness | R41.8 |
| Alzheimer's disease  | G30*  |
| Lewy body disease  | G31.3 |
| Dementia in Alzheimer's disease  | F00*  |
| Vascular dementia  | F01*  |
| Dementia in other diseases classified elsewhere                                      | F02*  |
| Unspecified dementia   | F03*  |
| Delirium, not induced by alcohol and other psychoactive substances                   | F05*  |

### Indicator 11. Emergency Department Presentations

| Data Sources                                | Definition   | Numerator   | Denominator  | Comments  | Covariates   |
|---|--|---|--|---|--|
| ISAAC, EDDC, NSW APDC, NSW EDDC, VAED, VAMD | Proportion of short-term residents who had an emergency department presentation within 30 days of entry/re-entry to aged care from hospital. <sup>41, 44</sup> | Number of aged care recipients who had an emergency department presentation within 30 days of entry/re-entry to the aged care (>1 day after entry). | Number of aged care residents admitted/re-admitted into aged care following an inpatient hospitalisation.  | Excluding hospitalisations for dialysis and other planned day procedures. | Age, sex, number of emergency inpatient hospitalisations the year prior, number of comorbidities, hospital length of stay. |
| ISAAC, EDDC, NSW APDC, NSW EDDC, VAED, VAMD | Proportion of long-term residents who had an emergency department within 30 days of re-entry to aged care from hospital. <sup>41, 44</sup>                     | Number of aged care recipients who had an emergency department presentation within 30 days of entry/re-entry to the aged care (>1 day after entry). | Number of long-term aged care residents re-admitted into aged care following an inpatient hospitalisation. | Excluding hospitalisations for dialysis and other planned day procedures. | Age, sex, number of emergency inpatient hospitalisations the year prior, number of comorbidities, hospital length of stay. |

## Indicator 12. Pressure Injuries

| Data Sources                                | Definition  | Numerator  | Denominator                    | Comments   | Covariates                         |
|---|---|--|--------------------------------|--|------------------------------------|
| ISAAC, EDDC, NSW APDC, NSW EDDC, VAED, VAMD | Proportion of long-term residents who had an emergency department presentation or hospitalisation where pressure injury was reported. <sup>41, 42</sup> | Number of long-term residents who had a hospitalisation or an emergency department presentation where pressure injury was included in any of the diagnoses and was not identified as onset during hospitalisation (Table I12.1). | Number of long-term residents. | Analysis should be stratified by “high risk” people in residential aged care facilities (Table I12.2). It is also stratified by any pressure injury versus pressure injury stage II-IV or unspecified. | Age, sex, number of comorbidities. |

**Table I12.1. Pressure Injury, Descriptions and ICD-10-AM Codes.**

| Description                       | Code   |
|-----------------------------------|--------|
| Pressure injury stage I           | L89.0* |
| Pressure injury stage II          | L89.1* |
| Pressure injury stage III         | L89.2* |
| Pressure injury stage IV          | L89.3* |
| Pressure injury unstageable       | L89.4* |
| Suspected deep tissue injury      | L89.5* |
| Pressure injury unspecified stage | L89.9* |

**Table I12.2. High Risk of Pressure Injury, ACFI Questions and Descriptions.**

| Description   | Question              |
|---|-----------------------|
| Whether the person needs complex skin integrity management for residents with compromised skin integrity who are confined to bed and/or chair or cannot self-ambulate. The management plan must include repositioning at least 4 times per day. | Q12_R5, response "Y"  |
| Whether the person needs management of chronic wounds, including varicose and pressure ulcers, and diabetic foot ulcers.  | Q12_R10, response "Y" |
| Requires physical assistance with both transfers and locomotion OR requires mechanical lifting for transfers.   | Q02, response "D"     |

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## Data Limitations

1. If an individual has transferred between facilities during the same year and was a long-term resident of both, it is possible that they are included in the denominator of both facilities for that year.
2. If an individual died after officially leaving a residential aged care facility this death is not included in the 'premature mortality' indicator, even if the reason for mortality was included in this list.
3. There are individual cases with missing facility/provider identifiers (e.g. 0.17% in 2016). These cases were included in the overall denominator and calculation of expected rates, but not included in the report figures.
4. In all indicators the period of time for which a person entered 'long-term' care was calculated using their entry into permanent residential aged care and does not include the period of time that they used residential respite immediately prior to permanent care in the calculation of cumulative days in care. The ROSA has identified that individuals can enter permanent care directly from respite care (e.g. in 2016 31% of long-term residents had been in residential respite care immediately prior to their permanent residential care entry) and in certain scenarios including this period of time in care may be appropriate, as a resident could have experienced an event during these periods.

## Appendix 3

### Methods: Search Strategy and Data Extraction for Part 1

**Table 3.1: Part 1 Methods for Search Strategy and Data Extraction**

#### Search Strategy and Databases

First the bibliographic sources of MEDLINE (Ovid) and EMBASE were systematically searched, using Medical Subject Headings (MeSH) and keywords: (residential facilities (MeSH) OR homes for the aged (MeSH) OR long-term care (MeSH) OR nursing homes (MeSH) OR assisted living facilities (MeSH) OR nursing homes (MeSH) OR skilled nursing facilities (MeSH) OR aged care facility (key words) OR long term care facility (key words) OR assisted living (key word) OR residential home (key word)) AND (quality indicators, health care (MeSH) OR quality assurance, health care (MeSH) OR quality of care indicator\* (key word) OR clinical indicator\* (key word) OR indicator\* (key word)). The search was limited to studies that were in English and published between 1st July 2009 to current.

Second, an internet search using Google (July / October 2019) was performed to search for relevant websites from using the following keywords: quality indicator, quality measure, quality in health care, residential aged care, nursing home, long-term care, aged care and long-term care facility, with the first 100 hits screened to maximise relevance to search criteria. Country specific government websites were also searched including CMS (Centers for Medicare and Medicaid Services; [www.cms.gov](http://www.cms.gov)), Health Data.gov ([www.healthdata.gov](http://www.healthdata.gov)), NICE (National Institute for Health and Clinical Excellence; [www.nice.org.uk](http://www.nice.org.uk)), European Society for Quality in Health Care ([www.edqm.eu](http://www.edqm.eu)), European Directorate for the Quality Use of Medicines & Healthcare ([www.esqh.net](http://www.esqh.net)) and Canadian Institute for Health Information ([www.cihi.ca](http://www.cihi.ca)).

Thirdly, reference lists of identified publications, reports and websites were also systematically searched to identify relevant publications.

A non-systematic search for home-care based quality indicators was also included that focused on the Canadian Monitoring system.

## Selection Criteria

Identified quality and safety outcome monitoring systems or indicators were included in the report if they fulfilled the following criteria: i) the indicator was aimed at monitoring / improving quality of care at the population level; ii) data collection was population-based; iii) data collection was standardised; iv) data collection and reporting were current (last 10 years); v) reporting of indicators and/or outcomes were publicly available and vi) the study, report or website was in English.

## Data Extraction

Identified studies from the initial database search (titles and abstracts) were reviewed by GEC and full text obtained for potentially relevant papers. Full text / data from papers, reports and internet searches (websites of relevant governments / organisations) were extracted by GEC.

Identified indicators / systems were then matched to the inclusion criteria (GEC), with consultation by a second reviewer (MI) to discuss appropriateness of inclusion and meeting inclusion criteria. Disagreement were resolved by consensus or a third party.

Data extracted and summarised from the identified studies and indicators / systems included:

- a general description of the indicators in place (country, name of indicator / system, start date )
- type of indicators (e.g. health, aged care or other)
- methods of data collection
- framework (e.g. public reporting, rating systems)
- employment of indicators (e.g. measure absolute performance, comparative performance against other provider, inform standards, internal use, payment)
- reporting time frames
- type of output
- definitions and methods for calculation of indicators
- methods of risk adjustments
- broad country specific factors
- prevalence / rates of indicators
- any other additional details and latest reports references.

## Appendix 4

### Summary of Identified International Quality and Safety Indicators for Analysis in Part 2 Using ROSA Data: Data Rules and Modifications

Table 4.1. Summary, Data Rules and Modifications of Identified Quality and Safety Indicators for Analysis in Part 2 using ROSA data

| Indicator   | Country / Study | Time Period | Comment Difference / Modification | Indicator Data Rules for ROSA Analysis  |
|---|-----------------|-------------|-----------------------------------|---|
| <b>MEDICATION-RELATED INDICATORS</b>  |                 |             |                                   |   |
| <b>Anti-Psychotic medication</b>  |                 |             |                                   |   |
| <b>ROSA Indicator:</b><br><b>Antipsychotic Use</b><br><i>(see Appendix 2)</i> | Australia       | 12 months   |                                   | <p>1. Proportion of long-term residents dispensed an antipsychotic. <i>See Appendix 2 for details.</i><br/>           Numerator: Number of long-term residents dispensed at least one antipsychotic medication (ATC codes N05A*) during the reporting period<br/>           Denominator: Number of long-term residents of aged care excluding residents with schizophrenia or Huntington's disease.</p> <p>2. Proportion of long-term residents with dementia dispensed an antipsychotic. <i>See Appendix 2 for details</i><br/>           Numerator: Number of long-term residents dispensed at least one antipsychotic medication (ATC codes N05A*) during the reporting period</p> |

| Indicator   | Country / Study | Time Period | Comment Difference / Modification   | Indicator Data Rules for ROSA Analysis  |
|---|-----------------|-------------|---|---|
|   |                 |             |   | Denominator: Number of long-term residents of aged care excluding residents with schizophrenia or Huntington's disease.   |
| Short Stay: Newly Receiving Antipsychotic Medication (without indication) | USA             | 90 days     | Short stay residents <100 days.<br>Indication=Schizophrenia, Huntington's Disease, Tourette's syndrome, Schizoaffective disorder but ROSA data only has Schizophrenia, Huntington's Disease.<br>New user will be defined as no prior use in 12 months prior to start of study period. | Proportion of short stay residents (<100 days in RAC) that are new users of an antipsychotic medication (ATC codes N05A*) in 90 days that do not have Schizophrenia or Huntington's Disease (diagnosis recorded on ACFI or ACAT, see Appendix 2 Table I2.2) |
| Antipsychotic Medication  | USA             | 7 days      | 7 day look back in 90-day period- need to calculate duration / dosing interval. Can only exclude residents with Schizophrenia, Huntington's Disease   | Proportion of LTC residents dispensed an antipsychotic medication(ATC codes N05A*) in 7-day period excluding Schizophrenia, or Huntington's disease (diagnosis recorded on ACFI or ACAT, see Appendix 2 Table I2.2)   |

| Indicator   | Country / Study | Time Period | Comment Difference / Modification   | Indicator Data Rules for ROSA Analysis  |
|---|-----------------|-------------|---|---|
| Taken antipsychotics without a diagnosis of psychosis                                     | Canada          | 7 days      | 7 day look back in 90-day period- need to calculate duration / dosing interval. Palliative care / <6 months to live exclusion - ROSA data will use palliative care flag in ACFI | Proportion of LTC residents dispensed an antipsychotic medication(ATC codes N05A*) in 7-day period excluding Schizophrenia, Huntington's disease or palliative care / <6 months to live (see Appendix 2, Table I2.2 and Table I1.3 Palliative recorded in ACFI) |
| Prevalence of anti-psychotic in absence of indication                                     | Finland         | 6 months    | Presence of psychotic disorder =Schizophrenia or other psychoses using ACFI or ACAT data  | Proportion of LTC residents dispensed an antipsychotic medication (ATC codes N05A*) in 6 months excluding patients with psychotic disorder (diagnosis recorded on ACFI or ACAT see Appendix 2 Table I2.2)   |
| Prevalence of anti-psychotic drug use in absence of indication                            | Iceland         | 120 days    | As above  | Proportion of LTC residents dispensed an antipsychotic (ATC codes N05A*) in 120 days excluding patients with psychotic disorder (diagnosis recorded on ACFI or ACAT see Appendix 2 Table I2.2)  |
| Proportion of people aged 75+ who have been treated with antipsychotic drugs in home care | Sweden          | 12 months   | Limited to people aged ≥75 years  | Proportion of home care residents aged ≥75 years dispensed an antipsychotic medication (ATC codes N05A*) in 12 month period   |

| Indicator  | Country / Study | Time Period | Comment Difference / Modification   | Indicator Data Rules for ROSA Analysis  |
|--|-----------------|-------------|---|---|
| Proportion of people aged 75+ who have been treated with antipsychotic drugs in residential care | Sweden          | 12 months   | Limited to people aged ≥75 years  | Proportion of LTC residents aged ≥75 years dispensed an antipsychotic medication (ATC codes N05A*) in 12 month period   |
| Antipsychotic prevalence   | SHELTER         | 6 months    | Presence of psychotic disorder =Schizophrenia or other psychoses using ACFI or ACAT data<br>Palliative care / <6 months to live exclusion - ROSA data will use palliative care flag in ACFI | Proportion of LTC residents dispensed an antipsychotic medication (ATC codes N05A*) in 6 months excluding residents with end-stage disease or hospice care (palliative care / <6 months to live (see Appendix 2 Table I1.3), or psychotic disorder (recorded in ACFI or ACAT see Appendix 2 Table I2.2) |
| High risk antipsychotic prevalence   | SHELTER         | 6 months    | Palliative care / <6 months to live exclusion - ROSA data will use palliative care flag in ACFI   | Proportion of LTC residents dispensed an antipsychotic medication (ATC codes N05*) in 6 months excluding residents with palliative care / <6 months to live (palliative recorded in ACFI see Appendix 2 Table I1.3) high risk use = people who do not have an indication (see Appendix 2 Table I2.2)    |
| Low risk antipsychotic prevalence  | SHELTER         | 6 months    | Can only exclude residents with Schizophrenia, Huntington's Disease.<br>Palliative care / <6 months   | 1. Proportion of LTC residents dispensed an antipsychotic medication (ATC codes N05*) in 6 months excluding Schizophrenia, Huntington's disease (diagnosis recorded on ACFI or ACAT), palliative care / <6 months to live (see Appendix 2 Table I1.3), dependent in daily decision                      |



| Indicator   | Country / Study  | Time Period  | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis  |
|---|------------------|--|--|---|
|   |                  |  | <p>to live exclusion - ROSA data will use palliative care flag in ACFI</p> <p>Dependent in daily decision making, short-term memory problem or behaviour symptoms (recorded in ACFI using assessment of Cognitive function (moderate -severe impairments) or behavioural daily living domain (medium-high)</p> | <p>making, short-term memory problem or behaviour symptoms (data recorded in ACFI)- low risk use = people who are likely to have an indication (see Appendix 2 Table I2.2)</p>  |
| <b>Anti-anxiety or Hypnotic medications</b>                             |                  |  |  |   |
| <p><b>ROSA Indicator:</b><br/><b>Sedative Load (see Appendix 2)</b></p> | <p>Australia</p> | <p>91 day cross-sectional periods over 12 months</p> |  | <p>1. Proportion of long-term residents potentially experiencing a high sedative load (SL <math>\geq 3</math>). <i>See Appendix 2 for details.</i></p> <p>Numerator: Number of long-term residents who had at least one 91-day period of potential high sedative load within the 12-month reporting period</p> <p>Denominator: Number of long-term residents of aged care excluding residents with schizophrenia or Huntington's disease or are receiving cancer treatment or in palliative care.</p> |

| Indicator                                       | Country / Study | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis  |
|---|-----------------|-------------|--|---|
|   |                 |             |  | <p>2. Proportion of long-term residents with dementia potentially experiencing a high sedative load (SL <math>\geq 3</math>). <i>See Appendix 2 for details.</i></p> <p>Numerator: Number of long-term residents with dementia who had at least one 91-day period of potential high sedative load within the 12 month reporting period</p> <p>Denominator: Number of long-term residents of aged care excluding residents with schizophrenia or Huntington's disease or are receiving cancer treatment or in palliative care.</p> |
| Anti-anxiety or hypnotic medication             | USA             | 7 days      | Similar to sedative load indication.<br>7 day look back instead of 91 days and no exclusions | Proportion of LTC residents dispensed an anti-anxiety (ATC codes N05B*) or hypnotic medications (ATC codes N05C*) in 7 days. <i>See Appendix 4 Table 2 for medications available on PBS.</i>  |
| Prevalence of anti-anxiety or hypnotic drug use | Iceland         | 120 days    | Similar to sedative load indication.<br>Use in 120 days instead of 91 days and no exclusions | Proportion of LTC residents dispensed an anti-anxiety (ATC codes N05B*) or hypnotic medications (ATC codes N05C*) in 120 days. <i>See Appendix 4 Table 2 for medications available on PBS.</i>  |
| Prevalence of anti-anxiety / hypnotic use       | Finland         | 6 months    | Similar to sedative load indication. Use in 6 months instead of 91 days and no exclusions    | 1. Proportion of LTC residents dispensed an anti-anxiety (ATC codes N05B) or hypnotic medications (ATC codes N05C) in 6 months. <i>See Appendix 4 Table 2 for medications available on PBS.</i>   |

| Indicator   | Country / Study   | Time Period | Comment Difference / Modification   | Indicator Data Rules for ROSA Analysis  |
|---|-------------------|-------------|---|---|
| <b>Psychotropic medications</b>   |                   |             |   |   |
| Three or more psychotropic drugs in older people aged 75 years and older living in community        | Sweden- Home care | 12 months   | Psychotropic drugs: Look at all 'N' class medicines (includes ATC codes N01 Anaesthetics, N02 Analgesics, N03 Antiepileptics, N04 Anti-Parkinson Drugs, N05 Psycholeptics, N06 Psychoanaleptics, N07 Other Nervous System Drugs) and dispensing of $\geq 3$ unique medications over 12 months | Proportion of home care residents dispensed $\geq 3$ psychotropic medications (ATC code N* medications) aged >75 years old in 12 months |
| Three or more psychotropic drugs in older people aged 75 years and older living in residential care | Sweden            | 12 months   | Psychotropic drugs: Look at all 'N' class medicines (includes N01 Anaesthetics, N02 Analgesics, N03 Antiepileptics, N04 Anti-Parkinson Drugs, N05 Psycholeptics, N06 Psychoanaleptics, N07 Other Nervous System Drugs),   | Proportion of LTC residents dispensed $\geq 3$ psychotropic medications (ATC code N* medications) aged >75 years old in 12 months       |

| Indicator                    | Country / Study     | Time Period  | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis   |
|------------------------------|---------------------|--|--|--|
|                              |                     |  | dispensing of $\geq$ unique medicines over 12 months   |  |
| Psycho-pharmacy              | Netherlands         | 12 months  | Psycho-pharmacy drugs: Look at dispensing of $\geq$ 1 Psycholeptic (ATC code N05*) or Psychoanaleptic (ATC code N06*) medications over 12 months   | 1. Proportion of LTC residents dispensed $\geq$ 1 psycho-pharmacy medications (ATC codes N05* or N06*) in 12 months  |
| <b>Polypharmacy</b>          |                     |  |  |  |
| Use of 9 or more medications | Victoria, Australia | 90 days (conduct audit in a nominated week in a quarter) | PRN and short-term medicines such as antibiotics, eye drops excluded. Can't identify PRN from PBS data but will exclude antibiotics (J01*) and eye-drops, ear drops (S01*-S03*). Report as Prevalence (%) and $\geq$ 9 medicines per 1,000 occupied bed days. Include LTC and respite residents. | 1. Proportion of LTC and respite residents dispensed $\geq$ 9 medications in 90 days excluding ATC codes S01*-S03* and J01*<br>2. $\geq$ 9 medications per 1,000 occupied bed days |

| Indicator  | Country / Study      | Time Period | Comment Difference / Modification | Indicator Data Rules for ROSA Analysis   |
|--|----------------------|-------------|-----------------------------------|--|
| Use of 9 or more medications   | Finland              | 12 months   |                                   | 1. Proportion of LTC residents dispensed $\geq 9$ medications in 12 months   |
| 9 or more different medications  | Iceland              | 120 days    |                                   | 1. Proportion of LTC residents dispensed $\geq 9$ medications in 120 days  |
| 10 or more different medications in people aged 75 years and older living in the community | Sweden-<br>Home care | 12 months   |                                   | 1. Proportion of homecare residents dispensed $\geq 10$ medications aged 75 years and older living in the community in 12 months |
| 10 or more different medications in people aged 75 years and older living residential care | Sweden               | 12 months   |                                   | 1. Proportion of LTC residents dispensed $\geq 10$ medications aged 75 years and older living in the community in 12 months      |

| Indicator  | Country / Study   | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis  |
|--|-------------------|-------------|--|---|
| <b>Inappropriate drug use</b>  |                   |             |  |   |
| Inappropriate drug use in people aged 75 years and older living in the community | Sweden- Home care | 12 months   | Sweden has list of 4 drugs / drug combinations that are considered inappropriate (the 4th one is proportion of LTC residents taking propiomazine-not on PBS). <i>See Appendix 4 Table 3 for details.</i><br>Medications with significant anticholinergic properties will include medicines that have been defined as having moderate-strong anticholinergic properties (Australian Medicines Handbook and National Prescribing Service, Australia). <i>See Appendix 4 Table 4 for details.</i> | <i>See Appedix 4 Table 3 for details of Sweden's definition of inappropriate drug use.</i><br>1. Proportion of LTC residents taking a long acting benzodiazepine (ATC codes N05BA01, N05CD02, N05CD03) in 12 months<br>2. Proportion of LTC residents taking medications with significant anticholinergic properties* in 12 months<br>*Significant anticholinergic properties = Moderate to Strong Anticholinergics. <i>See Appendix 4 Table 4 for details.</i><br>3. Proportion of LTC residents taking tramadol (ATC code N02AX02) in 12 months<br><br>Overall: Proportion of home care recipients taking $\geq 1$ inappropriate drug, aged 75 years and older in 12 month period |
| Inappropriate drug use in people aged 75 years and older                         | Sweden            | 12 months   | As Above   | As Above  |

| Indicator  | Country / Study               | Time Period | Comment Difference / Modification | Indicator Data Rules for ROSA Analysis   |
|--|-------------------------------|-------------|-----------------------------------|--|
| living in residential care   |                               |             |                                   | Overall: Proportion of LTC residents taking $\geq 1$ inappropriate drug aged 75 years and older in 12 month period   |
| <b>Antidepressant medications</b>  |                               |             |                                   |  |
| Use of an antidepressant   | Netherlands-LTC and Home Care | 12 months   |                                   | Proportion of LTC residents receiving an antidepressant medication (ATC code N06A*) in 12 months. Report by LTC and Home Care  |
| Antidepressant prevalence  | SHELTER                       | 6 months    |                                   | Proportion of LTC residents receiving an antidepressant medication (ATC code N06A*) in 6 months  |
| <b>PAIN</b>  |                               |             |                                   |  |
| <b>ROSA Indicator:</b><br><b>Chronic Opioid Use</b><br><i>(see Appendix 2)</i> | Australia                     | 12 months   |                                   | Proportion of long-term residents considered chronic opioid users. See <i>Appendix 2 for details</i> .<br>Numerator: Number of long-term residents that are chronic opioid users (ATC code N02A*).<br>Chronic opioid use is defined as receiving any number of opioid medications for at least 90 days continuously, or for 120 non-consecutive days. The number of days of medication use is determined based on the number of units dispensed and estimated dose per day. No gap days between one opioid medication dispensing and another are allowed when determining consecutive use of opioids.<br>Denominator: Long-term residents of aged care who do not have a |

| Indicator                        | Country / Study | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis   |
|----------------------------------|-----------------|-------------|--|--|
|                                  |                 |             |  | history or current diagnosis of cancer, and who are not receiving palliative care.   |
| Short Stay: Moderate-Severe Pain | USA             | Past 5 days | Continuous opioid use will be a proxy for moderate to severe pain.<br>Need to determine duration of opioid exposure  | <ol style="list-style-type: none"> <li>1. Proportion of short stay residents (&lt;100 days in RAC) that have continuous opioid (ATC code N02A) use for 5 or more days during stay (0-99 days from entry)</li> <li>2. Proportion of short stay residents (&lt;100 days in RAC) that have continuous opioid use (ATC code N02A) for past 5 or more days</li> </ol> |
| Self-Report Moderate-Severe Pain | USA             | Past 5 days | Continuous opioid use will be a proxy for moderate to severe pain.<br>Need to determine duration of opioid exposure.   | <ol style="list-style-type: none"> <li>1. Proportion of LTC residents that have continuous opioid (ATC code N02A) use for 5 or more days in 90-day period</li> <li>2. Proportion of LTC residents that have continuous opioid (ATC code N02A) use for past 5 or more days</li> </ol>   |
| Has Pain                         | Canada          | 90 days     | Opioid use will be proxy for pain or pain as reported in ACFI (Medical Dx Pain Q14_C1-C3: 1704 or Pain management or complex pain management (Q12_R3 or R4 "Y")) | Proportion of LTC residents that have been dispensed an opioid (ATC code N02A) or more days or report pain in ACFI in 90-day period  |



| Indicator   | Country / Study      | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis  |
|---|----------------------|-------------|--|---|
| Pain  | Korea                | 7 days      | Continuous opioid use for 7 days will be used as a proxy for daily pain, intense pain or pain that interrupts activities   | Proportion of LTC residents that have continuous opioid (ATC code N02A) use for past 7 or more days   |
| Percent of residents with pain  | NZ                   | 90 days     | Pain defined as daily in last 3 days or Pain Intensity Moderate-Severe or times when pain is horrible or excruciating: continuous opioid use for 3 days or more will be used as a proxy. NZ also examines by presence of Dementia. | <ol style="list-style-type: none"> <li>1. Proportion of LTC residents that have continuous opioid (ATC code N02A) use for 3 or more days in 90-day period</li> <li>2. Proportion of LTC residents with dementia that have that have continuous opioid use for 3 or more days in 90-day period</li> </ol>  |
| Daily pain  | Canada*<br>Home-care | 90 days     | Continuous opioid use will be a proxy for daily pain.  | Proportion of home care residents that have continuous opioid (ATC code N02A) use for 90 days   |
| <b>PRESSURE INJURY</b>  |                      |             |  |   |
| <b>ROSA Indicator:</b><br><b>Pressure Injury</b><br><i>(see Appendix 2)</i> | Australia            | 12 months   |  | <ol style="list-style-type: none"> <li>1. Proportion of long-term residents who had an emergency department presentation or hospitalisation where pressure injury* was reported. <i>See Appendix 2 for details.</i></li> </ol> Numerator: Number of long-term residents who had a hospitalisation or an emergency department presentation where pressure injury was included in any of the diagnoses and not identified as having onset |

| Indicator | Country / Study | Time Period | Comment Difference / Modification | Indicator Data Rules for ROSA Analysis  |
|-----------|-----------------|-------------|-----------------------------------|---|
|           |                 |             |                                   | <p>during hospitalisation</p> <p>Denominator: Number of long-term residents of aged care.</p> <p>Pressure injury ICD-10-AM L89.0*-L89.3* stage I-IV, unstageable L89.4*, Suspected deep tissue injury L89.5*, pressure injury unspecified L89.9*</p> <p>2. Proportion of "high-risk" long-term residents who had an emergency department presentation or hospitalisation where pressure injury was reported</p> <p>Numerator: Number of "high-risk" long-term residents who had a hospitalisation or an emergency department presentation where pressure injury was included in any of the diagnoses and not identified as having onset during hospitalisation</p> <p>Denominator: Number of long-term residents of aged care.</p> <p>*"High-risk" residents (identified from ACFI: Q12_R5 or Q12_R10 response "Y" or Q02 response "D")</p> <p>Q12: Skin management and complex skin integrity management. Q02: Immobile</p> <p>3. Proportion of long-term residents who had an emergency department presentation or hospitalisation where a stage 2-4 or unspecified pressure injury was reported</p> <p>Numerator: Number of long-term residents who had a hospitalisation or an emergency department presentation where a stage 2-4 or unspecified pressure injury was included in any of the diagnoses and with onset not during hospitalisation.</p> <p>Denominator: Number of long-term residents of aged care.</p> |

| Indicator                                     | Country / Study               | Time Period | Comment Difference / Modification   | Indicator Data Rules for ROSA Analysis   |
|---|-------------------------------|-------------|---|--|
| <b>Pressure Injury - Overall</b>              |                               |             |   |  |
| Pressure injuries                             | Australia                     | 90 days     | Reported as pressure injuries per 1,000 occupied bed days. Includes respite residents. Reporting by each of the six stages (Stage I-IV, unstageable or deep tissue) and overall | <ol style="list-style-type: none"> <li>1. Proportion of LTC and respite residents who had an emergency department presentation or hospitalisation where pressure injuries were reported in 90 days</li> <li>2. Pressure injuries per 1,000 occupied bed days</li> <li>3. Pressure injuries by stage per 1,000 occupied bed days</li> </ol> |
| Pressure injuries                             | Victoria, Australia           | 90 days     | Reported as pressure injuries per 1,000 occupied bed days. Includes respite residents.  | <ol style="list-style-type: none"> <li>1. Proportion of LTC and respite residents who had an emergency department presentation or hospitalisation where pressure injuries were reported in 90 days</li> <li>2. Pressure injuries per 1,000 occupied bed days</li> </ol>  |
| Short Stay: Pressure Ulcers - New or Worsened | USA                           | 90 days     | Short stay residents (<100 days RAC)  | Proportion of short stay residents (<100 days in RAC) who had an emergency department presentation or hospitalisation where pressure injury was reported in 90 days  |
| Pressure Ulcers                               | Netherlands-LTC and Home Care | 12 months   |   | Proportion of LTC residents who had an emergency department presentation or hospitalisation where pressure injuries were reported in 12 months   |
| Prevalence of grade 1–4 pressure ulcers       | Finland                       | 6 months    | ICD-10 AM codes for pressure injury stage I-IV (L89.0*-L89.3*)  | Proportion of LTC residents who had an emergency department presentation or hospitalisation where pressure injuries stage 1-4 were reported in 6 months  |

| Indicator  | Country / Study | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis  |
|--|-----------------|-------------|--|---|
| Pressure ulcer prevalence                            | SHELTER         | 6 months    |  | see above Finland   |
| Stages 1-4 pressure ulcers                           | Iceland         | 120 days    | ICD-10 AM codes for pressure injury stage I-IV (L89.0*-L89.3*)   | Proportion of LTC residents who had an emergency department presentation or hospitalisation where pressure injuries stage 1-4 were reported in 120 days                     |
| <b>Pressure Injury Stage II to IV or Risk Level</b>  |                 |             |  |   |
| High-Risk Residents with Stage II-IV Pressure Ulcers | USA             | 90 days     | Can use "High-risk" residents (identified from ACFI: Q12_R5 or Q12_R10 response "Y" or Q02 response "D" requiring skin management or impaired mobility. USA also includes malnutrition- can use hospitalisation for malnutrition /weight loss same as ROSA indicator | Proportion of "high-risk" long-term residents who had an emergency department presentation or hospitalisation with a stage II-IV pressure injury in 90 days                 |
| Has a new stage II to IV pressure ulcer              | Canada          | 90 days     | New= incident pressure injury (no previous hospitalisation in 12 months prior)   | Proportion of long-term residents who had an emergency department presentation or hospitalisation with a stage II-IV pressure injury in 90 days but not in 12 months prior. |

| Indicator   | Country / Study | Time Period | Comment Difference / Modification   | Indicator Data Rules for ROSA Analysis  |
|---|-----------------|-------------|---|---|
| Percent of residents who have a Pressure Ulcer Stage 2 to 4 | NZ              | 90 days     |   | Proportion of long-term residents who had an emergency department presentation or hospitalisation with a stage II-IV pressure injury in 90 days |
| High risk pressure ulcer prevalence                         | SHELTER         | 6 months    | High risk=comatose, extensive assistance or more with toilet transfer or bed mobility. Use Q02 "D" requires assistance for mobility (transfers, locomotion) | Proportion of high-risk long-term residents who had an emergency department presentation or hospitalisation for a pressure ulcer in 6 months    |
| Low risk pressure ulcer prevalence                          | SHELTER         | 6 months    | Low risk= excl. high risk<br>Exclude Q02 "D"  | Proportion of low risk long-term residents who had an emergency department presentation or hospitalisation for a pressure injury in 6 months    |
| High risk pressure ulcer prevalence                         | Korea           | 90 days     | High risk=not defined but use same as above.  | Proportion of high-risk long-term residents who had an emergency department presentation or hospitalisation for a pressure injury in 90 days    |
| Low risk pressure ulcer prevalence                          | Korea           | 90 days     | Low risk= not defined but use same as above   | Proportion of low risk long-term residents who had an emergency department presentation or hospitalisation for a pressure injury in 90 days     |

| Indicator   | Country / Study | Time Period | Comment Difference / Modification                          | Indicator Data Rules for ROSA Analysis   |
|---|-----------------|-------------|--|--|
| <b>HOSPITALISATIONS</b>   |                 |             |  |  |
| <b>ROSA Indicator:</b><br><b>Medication-related adverse events</b><br><i>(see Appendix 2)</i> | Australia       | 12 months   |  | 1. Proportion of short-term residents who had an emergency department presentation or hospitalisation for medication-related events. <i>See Appendix 2 for details</i><br>Numerator: Number of long-term residents who had an emergency department presentation or hospitalisation where a medication-related event was the principal discharge diagnosis for the presentation<br>Denominator: Number of long-term aged care residents |
| <b>Hospitalisations</b>   |                 |             |  |  |
| Number of hospitalisations per 1000 long-stay resident days (Claim-based)                     | USA             | 90 days     | Long-stay. USA claims-based quality measure                | 1. Number of hospitalisations per 1000 long-stay resident days   |
| Unplanned hospitalisations  | Korea           | 90 days     | Unplanned hospitalisation= exclude all elective admissions | 1. Proportion of residents with unplanned hospitalisation in the past 90 days  |
| Pneumonia   | Korea           | 90 days     | Can only identify hospitalisation for                      | 1. Proportion of residents with hospitalisation for pneumonia (J12-J18) in the past 90 days  |

| Indicator  | Country / Study | Time Period | Comment Difference / Modification | Indicator Data Rules for ROSA Analysis   |
|--|-----------------|-------------|-----------------------------------|--|
|  |                 |             | pneumonia not Dx in primary care  |  |
| <b>Emergency Department Visits</b>   |                 |             |                                   |  |
| <b>ROSA Indicator: Emergency Department (ED) Presentation (see Appendix 2)</b> | Australia       | 12 months   |                                   | <p>1. Proportion of short-term residents who had an ED presentation within 30 days of entry/re-entry to residential aged care from hospital. <i>See Appendix 2 for details.</i><br/>           Numerator: Number of short-stay aged care residents who had an ED presentation within 30 days of entry/re-entry to the aged care (&gt;1 day after entry)<br/>           Denominator: Number of short-term aged care residents admitted/re-admitted into aged care following an inpatient hospitalisation</p> <p>2. Proportion of long-term residents who had an ED presentation within 30 days of entry / re-entry to residential aged care from hospital. <i>See Appendix 2 for details.</i><br/>           Numerator: Number of long-term aged care residents who had an ED presentation within 30 days of entry/re-entry to the aged care (&gt;1 day after entry)<br/>           Denominator: Number of long-term aged care residents admitted/re-admitted into aged care following an inpatient hospitalisation</p> |
| Short Stay: Outpatient ED Visit after  | USA             | 90 days     | Short stay. USA claims-based      | Proportion of short-stay residents who entered or re-entered the facility from a hospital, presented at an ED within 30 days of the start of the stay and this visit did not result in an inpatient or observation stay.   |

| Indicator  | Country / Study      | Time Period | Comment Difference / Modification                                 | Indicator Data Rules for ROSA Analysis   |
|--|----------------------|-------------|---|--|
| Hospitalisation (Claims-based)   |                      |             | (administrative data) quality measure                             |  |
| Number of outpatient ED visits per 1000 long-stay resident days (Claims-based) | USA                  | 90 days     | Long-stay. USA claims-based (administrative data) quality measure | Number of outpatient ED presentations per 1000 long-stay resident days   |
| ED visit   | Korea                | 90 days     | Indicator included ED visit or emergency care.                    | Proportion of residents who had an emergency department presentation in the past 90 days   |
| <b>Other</b>   |                      |             |   |  |
| Hospitalisation or ED visit  | Canada*<br>Home-care | 90 days     |   | Proportion of home care residents who had a hospitalisation or ED presentation in 90 days  |
| Hospitalisation for fracture / burns   | Canada*<br>Home-care | 6 months    |   | Proportion of home care residents who had a hospitalisation or ED presentation for a fracture (as defined in fracture indicators see <i>Appendix 2</i> ) or burns (ICD-10AM T20-T29.3) |



| Indicator   | Country / Study               | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis  |
|---|-------------------------------|-------------|--|---|
| <b>CARE PLANS / MEDICATION REVIEW</b>                       |                               |             |  |   |
| Updated Care Plan   | Sweden-LTC and Home Care      | 12 months   | Can identify Care Plans using MBS data (GPMP: MBS codes 721, 723, 729, 731, 732 nb. 731 is specific for RAC) | Proportion of LTC and Home Care residents that have had MBS claim for a care plan in 12 months  |
| Medication Review   | Sweden-LTC and Home Care      | 12 months   | Can identify medication reviews using MBS data (RMMR: MBS code 903; HMR 900 Nb. RMMR is specific for RAC)    | Proportion of LTC and Home Care residents that have had MBS claim for a medication review in 12 months  |
| Care plan and evaluation                                    | Netherlands-LTC and Home Care | 12 months   | See above  | See above Sweden. Report for both LTC and Home Care   |
| <b>MORTALITY</b>  |                               |             |  |   |
| <b>ROSA Indicator: Premature mortality (see Appendix 2)</b> | Australia                     | 12 months   |  | <p>1. Proportion of short-term residents who had premature deaths*<br/>*Premature death=the main cause of death is 'external' (ICD-10 AM codes V01-Y98) and considered potentially avoidable. <i>See Appendix 2 for details.</i><br/>Numerator: Number of short-term residents who died prematurely.<br/>Denominator: Number of short-term residents</p> <p>2. Proportion of long-term residents who had premature deaths* <i>See</i></p> |

| Indicator   | Country / Study | Time Period | Comment Difference / Modification | Indicator Data Rules for ROSA Analysis  |
|---|-----------------|-------------|-----------------------------------|---|
|   |                 |             |                                   | <i>Appendix 2 for details.</i><br>Numerator: Number of long-term residents who died prematurely.<br>Denominator: Number of long-term residents  |
| Mortality   | Korea           | 90 days     |                                   | Proportion of long-term residents who died in the past 90 days  |
| <b>WEIGHT LOSS / MALNUTRITION</b>   |                 |             |                                   |   |
| <b>ROSA Indicator:</b><br>Weight loss or malnutrition ( <i>see Appendix 2</i> ) | Australia       | 12 months   |                                   | Proportion of long-term residents with any emergency department presentation or hospitalisation, where weight loss or malnutrition were reported. <i>See Appendix 2 for details</i><br>Numerator: Number of long-term residents who had an emergency department presentation or hospitalisation where weight loss or malnutrition were reported in any of the discharge diagnoses<br>Denominator: Number of long-term residents who do not have cancer and are not in palliative care<br>Malnutrition=ICD-10 AM codes E43,E44.0, E44.1, E46, E63.9; Weight loss= R63.4, R63.6, R64, Z68.1<br>Cancer treatment= L01 6 months prior or Palliative care ACFI R14 "Y" or use of MBS Items for palliative care |

| Indicator             | Country / Study     | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis   |
|-----------------------|---------------------|-------------|--|--|
| <b>Weight Loss</b>    |                     |             |  |  |
| Unplanned weight loss | Australia           | 3 months    | Hospitalisation codes for weight loss likely underestimate.<br>Exclude palliative care, respite care | 1. Proportion of long-term residents with any emergency department presentation or hospitalisation where weight loss was reported in 3 months and not in palliative care<br>2. Number of emergency department presentations or hospitalisations where weight loss was reported per 1000 occupied long-term resident days in 3 months |
| Unplanned weight loss | Victoria, Australia | 3 months    | Hospitalisation codes for weight loss likely underestimate.<br>Exclude palliative care               | 1. Proportion of long-term residents with any emergency department presentation or hospitalisation where weight loss was reported in 3 months and not in palliative care<br>2. Number of emergency department presentations or hospitalisations where weight loss was reported per 1000 occupied long-term resident days in 3 months |
| Weight loss           | USA                 | 6 months    | Hospitalisation codes for weight loss likely underestimate   | 1. Proportion of long-term residents with any emergency department presentation or hospitalisation, where weight loss was reported in 6 months   |
| Weight loss           | Finland             | 6 months    | Hospitalisation codes for weight loss likely underestimate   | As above   |

| Indicator   | Country / Study                       | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis  |
|---|---------------------------------------|-------------|--|---|
| Percent of residents who have unexplained weight loss | NZ                                    | 90 days     | Hospitalisation codes for weight loss likely underestimate.<br>Exclude palliative care | Proportion of long-term residents with any emergency department presentation or hospitalisation, where weight loss was reported in 90 days, excluding palliative care       |
| Prevalence weight loss                                | Iceland                               | 120 days    | Hospitalisation codes for weight loss likely underestimate                             | Proportion of long-term residents with any emergency department presentation or hospitalisation, where weight loss was reported in 120 days                                 |
| Weight loss in past 30 days                           | Korea                                 | 30 days     | Hospitalisation codes for weight loss likely underestimate                             | Proportion of long-term residents with any emergency department presentation or hospitalisation, where weight loss was reported in 30 days                                  |
| Weight loss   | Canada*<br>Home-care                  | 6 months    | Hospitalisation codes for weight loss likely underestimate                             | Proportion of home care residents who had a hospitalisation or ED visit where weight loss was reported in 6 months  |
| <b>Malnutrition</b>                                   |                                       |             |  |   |
| Malnutrition  | Netherlands-<br>-LTC and<br>Home Care | 12 months   | Hospitalisation codes for malnutrition likely underestimate                            | Proportion of long-term residents with any emergency department presentation or hospitalisation, where malnutrition was reported in 12 months. Report for LTC and Home Care |

| Indicator                  | Country / Study | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis  |
|----------------------------|-----------------|-------------|--|---|
| <b>Tube feeding</b>        |                 |             |  |   |
| Prevalence of tube feeding | Finland         | 12 months   | Use MBS item codes for nasogastric tube insertion or percutaneous endoscopic gastrostomy or ACFI<br>Q12_R17 = "Y" Person needs management of ongoing tube feeding. | Proportion of long-term residents with tube feeding* in 12 months<br>*nasogastric tube MBS codes 31456, 31458 or PEG 30481,304782,30483,31460 or ACFI tube feeding Q12_R17= 'Y'                           |
| Feeding tube               | NZ              | 90 days     | Excludes residents in palliative care.   | Proportion of long-term residents with tube feeding* in 90 days, excluding palliative care<br>*nasogastric tube MBS codes 31456, 31458 or PEG 30481,304782,30483,31460 or ACFI tube feeding Q12_R17= 'Y'  |
| Feeding tube prevalence    | SHELTER         | 6 months    | Excludes residents in palliative care.   | Proportion of long-term residents with tube feeding* in 6 months, excluding palliative care<br>*nasogastric tube MBS codes 31456, 31458 or PEG 30481,304782,30483,31460 or ACFI tube feeding Q12_R17= 'Y' |
| Prevalence of tube feeding | Iceland         | 120 days    |  | Proportion of long-term residents with tube feeding* in 120 days<br>*nasogastric tube MBS codes 31456, 31458 or PEG 30481,304782,30483,31460 or ACFI tube feeding Q12_R17= 'Y'                            |

| Indicator  | Country / Study | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis  |
|--|-----------------|-------------|--|---|
| <b>Dehydration</b>                                     |                 |             |  |   |
| Prevalence of dehydration                              | Finland         | 12 months   | Hospitalisation codes for dehydration likely underestimate (only severe cases) | Proportion of long-term residents hospitalised for dehydration in 12 months<br>ICD-10AM code E86: dehydration and other volume depletion  |
| Prevalence of dehydration                              | Iceland         | 120 days    | Hospitalisation codes for dehydration likely underestimate (only severe cases) | Proportion of long-term residents hospitalised for dehydration in 120 days<br>ICD-10AM code E86: dehydration and other volume depletion   |
| <b>INFECTIONS</b>                                      |                 |             |  |   |
| <b>ROSA Indicator: Antibiotic Use (see Appendix 2)</b> | Australia       | 12 months   |  | 1. Proportion of long-term residents dispensed an antibiotic. <i>See Appendix 2 for details.</i> Includes systemic antibiotics only (ATC codes J01*, J04AB02, J04AC01, A02BD05, A02BD06, A07AA09, A07AA11)<br><br>Numerator: Number of long-term residents dispensed least one antibiotic for systemic use.<br>Denominator: Number of days in residential aged care for long-term residents |
| One or more infections                                 | Canada          | 90 days     | Use PBS data and prescribing of antibiotic                                     | Proportion of residents having at least one or more dispensings of a systemic antibiotic in 90 days   |

| Indicator  | Country / Study     | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis   |
|--|---------------------|-------------|--|--|
| Infections (Pneumonia, COPD, septicemia, sexually transmitted disease, UTI or viral hepatitis) | SHELTER             | 6 months    | Can use hospital data to identify hospitalisation for each of the conditions.            | Proportion of residents with a hospitalisation for selected conditions associated with an infection in 6 months.<br>ICD-10 AM codes Pneumonia J12-J18, COPD J43-44, septicemia A40-A41, sexually transmitted disease A50-64, UTI N39.0, viral hepatitis B15-B19  |
| <b>FALLS / FRACTURES</b>   |                     |             |  |  |
| <b>ROSA Indicator: Fractures (see Appendix 2)</b>  | Australia           | 12 months   |  | 1. Proportion of long-term residents who experience at least one fracture. <i>See Appendix 2 for details</i><br>Numerator: Number of long-term residents with an emergency department presentation or hospitalisation for fracture, or secondary diagnosis where onset is not during the hospitalisation, the external cause of the hospitalisation is fall, treatment for which MBS paid for or deaths from fractures<br>Denominator: Number of long-term residents |
| <b>Falls and fall-related fractures</b>  |                     |             |  |  |
| Falls and fall-related fractures   | Victoria, Australia | 90 days     | Reports both proportions of falls and fall-related fractures and rate per 1,000 bed days | 1. Proportion of long-term residents who have a recorded fall in 90-day period<br>2. Rate of falls per 1,000 bed days in 90-day period<br>3. Proportion of long-term residents who have a fall-related fracture in   |

| Indicator   | Country / Study          | Time Period | Comment Difference / Modification                        | Indicator Data Rules for ROSA Analysis  |
|---|--------------------------|-------------|--|---|
|   |                          |             |  | 90-day period<br>4. Rate of fall-related fractures per 1,000 bed days in 90-day period  |
| <b>Fractures</b>  |                          |             |  |   |
| Incidence of new fractures  | Finland                  | 6 months    | As defined using ICD-10 codes from ROSA OMS (Appendix 2) | Proportion of long-term residents who experience at least one fracture in 6 months  |
| Number of fractures of hip or thigh per 100,000 inhabitants aged 65 years and older | Sweden-LTC and Home Care | 12 months   | As defined using ICD-10 codes from ROSA OMS (Appendix 2) | Proportion of long-term residents who have a hip or femur fracture aged 65 years and older in 12 months. Report for both LTC and Home Care  |
| <b>ROSA Indicator: Falls (see Appendix 2)</b>                                       | Australia                | 12 months   |  | Proportion of long-term residents who experienced one or more falls resulting in requiring medical attention. <i>See Appendix 2 for details</i><br>Numerator: Number of long-term residents with an ambulance service, emergency department presentation, hospitalisation, or death from a fall<br>Denominator: Number of long-term residents |



| Indicator   | Country / Study               | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis   |
|---|-------------------------------|-------------|--|--|
| <b>Falls</b>  |                               |             |  |  |
| One or more falls with major injury                             | USA                           | 12 months   | Includes major injury = Medical attention, ED visit, ambulance service, hospitalisation or death | Proportion of long-term residents who experience a fall resulting in ambulance service, emergency department presentation, hospitalisation or death from a fall in 12 months   |
| Number of injuries due to falls per 1,000 inhabitants aged 80+. | Sweden-LTC and Home Care      | 12 months   | Limited to ≥80 years old   | Number of long-term residents who experience a fall resulting in ambulance service, emergency department presentation, hospitalisation or death from a fall per 1000 residents aged 80 years and older in 12 months. Report for both LTC and Home Care   |
| Falls   | Canada                        | 90 days     |  | Proportion of long-term residents who experience a fall resulting in ambulance service, emergency department presentation, hospitalisation or death from a fall in 90 days   |
| Fallen in last 30 days  | NZ                            | 30 days     | NZ: Stratified by Dementia   | <ol style="list-style-type: none"> <li>1. Proportion of long-term residents who experience a fall resulting in ambulance service, emergency department presentation, hospitalisation or death from a fall in 30 days</li> <li>2. Proportion of long-term residents with dementia who experience a fall resulting in ambulance service, emergency department presentation, hospitalisation or death from a fall in 30 days</li> </ol> |
| Falls   | Netherlands-LTC and Home Care | 12 months   |  | As above. Report for LTC and Home Care   |

| Indicator   | Country / Study      | Time Period                            | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis   |
|---|----------------------|--|--|--|
| Prevalence of falls                                     | Iceland              | 30 days                                |  | Proportion of long-term residents who experience a fall resulting in ambulance service, emergency department presentation, hospitalisation or death from a fall in 30 days |
| Prevalence of falls within 30 d prior to the assessment | Finland              | 30 days                                |  | As above   |
| Falls   | Canada*<br>Home-care | 90 days                                |  | Proportion of home care residents who experienced one or more falls resulting in requiring medical attention in 90 days  |
| <b>DEPRESSIVE SYMPTOMS / DEPRESSION</b>                 |                      |  |  |  |
| <b>Depressive symptoms</b>                              |                      |  |  |  |
| Depressive symptoms                                     | USA                  | 14 days - but 90-day assessment period | Assessed using Resident Mood Interview [PHQ-9] or Staff Mood Interview [PHQ-9-OV] - can use ACFI data<br>Cornell Scale for depression<br>Q10_R1 response 2-4 (mild, mod, severe interference of depressive symptoms with regular activities) | Proportion of long-term residents with depressive symptoms that interfere with regular activities  |

| Indicator                                     | Country / Study | Time Period | Comment Difference / Modification        | Indicator Data Rules for ROSA Analysis   |
|---|-----------------|-------------|--|--|
| Symptoms of depression without antidepressive | Finland         | 12 months   | As above but not taking N06A* medication | Proportion of long-term residents with depressive symptoms that interfere with regular activities and not dispensed an antidepressant (ATC code N06A*) within a 60-day period in a 12-month period |
| Prevalence of symptoms of depression          | Finland         | 12 months   | As above but over 12 months              | Proportion of long-term residents with depressive symptoms that interfere with regular activities in 12 months   |
| Symptoms of depression                        | Iceland         | 120 days    | As above but 120 days                    | 1. Proportion of long-term residents with depressive symptoms that interfere with regular activities in 120 days   |
| Symptoms of depression without antidepressive | Iceland         | 120 days    | As above but not taking N06A*            | Proportion of long-term residents with depressive symptoms that interfere with regular activities and not dispensed an anti-depressant (ATC code N06A*) within the 120-day period                  |
| <b>Depression</b>                             |                 |             |  |  |
| Depression prevalence                         | SHELTER         | 6 months    | As above but 6 months                    | Proportion of long-term residents with a diagnosis of depression in 6 months   |

| Indicator  | Country / Study               | Time Period                | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis   |
|--|-------------------------------|----------------------------|--|--|
| Depression   | Netherlands-LTC and Home Care | 12 months                  | Can use Medical Dx or Mental and Behavioural Dx in ACFI or Cornell Scale for depression Q10_R1 response 3-4 (mod, severe interference of depressive symptoms with regular activities) *. Can also use ICD-10AM hospitalisations for depression (F32, F31.3-F31.5, U79.3) | Proportion of long-term residents with a diagnosis of depression in 12 months. Report for both LTC and Home Care   |
| <b>BOWEL / BLADDER INCONTINENCE</b>                  |                               |                            |  |  |
| Low risk residents with bowel / bladder incontinence | USA                           | 7 day look back in 90 days | Use both bladder and bowel incontinence. In ACFI from Q05_R1 urinary incontinent response 2-4, Q05_R2 bowel incontinent response 2-4, or Medical Dx other= urinary or faecal incontinence<br>High risk= cognitive impairment, dependant in mobility                      | The proportion of low risk long term residents with bowel or bladder incontinence* Recorded in most recent ACFI.<br>Low risk= exclude residents with dementia Dx ACFI (Medical 0500-0532) or Mod-High Cognitive impairment Q06 response 3-4 PAS CIS score or Q02= Immobile |

| Indicator  | Country / Study               | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis   |
|--|-------------------------------|-------------|--|--|
| Incontinence                                       | Finland                       | 12 months   | Use both bladder and bowel incontinence as above.                            | The proportion of long-term residents with bowel or bladder incontinence recorded in most recent ACFI                            |
| Occasional / frequent bowel / bladder incontinence | Netherlands-LTC and Home Care | 12 months   | As above.  | As above. Report for both LTC and Home Care  |
| Prevalence of bowel / bladder incontinence         | Iceland                       | 120 days    | Use both bladder and bowel incontinence as above.                            | The proportion of long-term residents with bowel or bladder incontinence recorded in most recent ACFI                            |
| Prevalence of bladder incontinence                 | Korea                         | 14 days     | Bladder incontinence from ACFI Q05_R1 urinary incontinent response 1-4       | The proportion of long-term residents with bladder incontinence recorded in most recent ACFI                                     |
| Prevalence of bowel incontinence                   | Korea                         | 14 days     | Bowel incontinence from ACFI Q05_R2 bowel incontinent response 1-4           | The proportion of long-term residents with bowel incontinence recorded in most recent ACFI                                       |
| Bowel / bladder incontinence                       | SHELTER                       | 6 months    | Use both bladder and bowel incontinence as above.<br>Exclude palliative care | The proportion of long-term residents with bowel or bladder incontinence, excluding palliative care recorded in most recent ACFI |

| Indicator  | Country / Study      | Time Period | Comment Difference / Modification   | Indicator Data Rules for ROSA Analysis   |
|--|----------------------|-------------|---|--|
| High risk bowel / bladder incontinence                                     | SHELTER              | 6 months    | Use both bladder and bowel incontinence as above.<br>High risk= palliative care, and high risk defined as above | The proportion of high-risk long-term residents with bowel or bladder incontinence, excluding palliative care recorded in most recent ACFI   |
| Low risk bowel / bladder incontinence                                      | SHELTER              | 6 months    | Use both bladder and bowel incontinence as above.<br>Low risk=exclude high risk apart from palliative care      | The proportion of low risk long term residents with bowel or bladder incontinence, excluding palliative care recorded in most recent ACFI  |
| Bladder incontinence   | Canada*<br>Home-care | 60 days     | Bladder incontinence from ACFI Q05_R1 urinary incontinent response 1-4  | Proportion of home care residents with bladder incontinence recorded in most recent ACFI   |
| <b>COGNITION</b>   |                      |             |   |  |
| <b>ROSA Indicator:</b><br><b>Delirium and/or Dementia (see Appendix 2)</b> | Australia            | 12 months   |   | Proportion of long-term residents with dementia who had an emergency department presentation or hospitalisation for delirium or dementia. <i>See Appendix 2 for details</i><br>Numerator: Number of long-term residents with dementia who had an emergency department presentation or hospitalisation where dementia or delirium were the principal discharge diagnoses for the presentation<br>Denominator: Number of long-term residents with dementia diagnosis |

| Indicator            | Country / Study      | Time Period | Comment Difference / Modification  | Indicator Data Rules for ROSA Analysis   |
|----------------------|----------------------|-------------|--|--|
| Cognitive impairment | Finland              | 6 months    | Cognitive impairment using ACFI. Can also use hospitalisation for dementia but will not capture cognitive impairment | Proportion of long-term residents with dementia Dx ACFI (Medical 0500-0532) or Mod-High Cognitive impairment Q06 response 3-4 PAS CIS score in 6-month period                                      |
| Cognitive impairment | Canada*<br>Home-care | 6 months    | As above.  | Proportion of home care residents 1. Proportion of long-term residents with dementia Dx ACFI (Medical 0500-0532) or Mod-High Cognitive impairment Q06 response 3-4 PAS CIS score in 6-month period |

**Table 4.2: Anti-anxiety (N05B\*) and hypnotic (N05C\*) medications available on the PBS**

| ATC Codes | Medication name |
|-----------|-----------------|
| N05BA01   | diazepam        |
| N05BA04   | oxazepam        |
| N05BA08   | bromazepam      |
| N05BA12   | alprazolam      |
| N05BE01   | bupirone        |
| N05CD02   | nitrazepam      |
| N05CD03   | flunitrazepam   |
| N05CD07   | temazepam       |
| N05CD08   | midazolam       |
| N05CF01   | zopiclone       |

**Table 4.3: Sweden's Inappropriate Medications Indicator**

|  |
|--|
| 1. Proportion of LTC residents dispensed a long acting benzodiazepine (N05BA01, N05CD02, N05CD03) in 12 months                                       |
| 2. Proportion of LTC residents dispensed medications with significant anticholinergic properties (moderate to strong anticholinergics)* in 12 months |
| 3. Proportion of LTC residents dispensed tramadol (N02AX02) 12 months  |
| 4. Overall: Proportion of LTC residents taking ≥1 inappropriate drug in 12 months  |

**Table 4.4: Moderate - Strong Anticholinergic Medications\***

| ATC Codes        | Medication Description |
|------------------|------------------------|
| R03BB05          | acridinium             |
| N04BB01          | amantadine             |
| N06AA09          | amitriptyline          |
| A03BA01, S01FA01 | atropine               |
| A03BA04          | belladonna alkaloids   |
| N04AC01          | benzatropine           |
| N04AA02          | biperiden              |
| R06AB01          | brompheniramine        |
| N05AA01          | chlorpromazine         |
| N06AA04          | clomipramine           |
| N05AH02          | clozapine              |
| R06AX02          | cyproheptadine         |
| R06AA02          | diphenhydramine        |
| C01BA03          | disopyramide           |
| N06AA16          | dosulepin              |
| N06AA12          | doxepin                |
| A03AB02, R03BB06 | glycopyrronium         |
| S01FA05          | homatropine            |



| <b>ATC Codes</b>          | <b>Medication Description</b>           |
|---------------------------|---|
| A03BB01                   | hyoscine (butylbromide or hydrobromide) |
| N06AA02                   | imipramine                              |
| R03BB01, R01AX03, R03AK04 | ipratropium (nebulised)                 |
| N06AX03                   | mianserin                               |
| N06AA10                   | nortriptyline                           |
| N05AH03                   | olanzapine                              |
| N04AB02                   | orphenadrine                            |
| G04BD04                   | oxybutynin                              |
| N05AC01                   | periciazine                             |
| R06AB05                   | pheniramine                             |
| N02CX01                   | pizotifen                               |
| N05AB04 (A04AD on PBS)    | prochlorperazine                        |
| R06AD02 (A04AD on PBS)    | promethazine                            |
| A03AB05                   | propantheline                           |
| R03BB04                   | tiotropium                              |
| N04AA01                   | trihexyphenidyl                         |
| R03BB07                   | umeclidinium                            |

\* The following are not on PBS and thus not in the estimation but may be used in Australia:  
 Alimemazine R06AD01, chlorphenamine R06AB04, cyclizine R06AE03, cyclopentolate S01FA04, darifenacin G04BD10, dexchlorpheniramine R06AB02, levomepromazine N05AA02, solifenacin G04BD08, tolterodine G04BD07, triprolidine R06AX07, tropicamide S01FA06